Sundaram Bluechip Fund

An open ended equity scheme predominantly investing in large cap stocks

Application No: SBCF

SUNDARAM MUTUAL

Please refer to Riskometer in the cover page and Your Guide to Fill the Application form (pages 12-17) before proceeding

Distributor ARN	Sub Distributor ARN	Internal Sub-Broker/Sol ID	EUIN*	Employee Code	PMRN / RIA Code
48012			E 0 5 3 0 8 5		

New Fund Offer Period:
Opens on: 17/09/2020
Closes on: 30/09/2020

ISC Date Time Stamp Reference No.

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

*** Declaration for "Execution only" transaction (only where EUIN box is left blank)** ☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	Power of Attorney Holder

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS: In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible from the purchase/ subscription amount and payable to the Distributor. Units will be issued for the balance amount invested.

☐ I confirm that I am a first time investor across Mutual Funds: ₹150.
☐ I confirm that I am an existing investor in Mutual Funds: ₹100.

1. MODE OF HOLDING			2. Existing Folio no:
☐ Single	☐ Joint	☐ Anyone or Survivor	(If you have an existing folio with KYC validated, please mention here and skip to Investment & Payment section)
UNIT HOLDING OPTION	☐ Physical Mode	☐ Demat Mode	Depository Participant Name ↓

Demat Account Details of First / Sole Applicant (Name of First / Sole Applicant as per demat account)		

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form.

NSDL	DPID		CDSL	DPID	
	Beneficiary ID			Beneficiary ID	

Note: Please attach copy of Client Master List.

Status	Resident Individual	Non Resident	Company	HUF	Minor	Society	FII	PIO	Partnership Firm	Proprietor	NPO	Trust	Others
1st Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify
2nd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify
3rd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify

3. FIRST HOLDER DETAILS

(PLEASE FILL IN BLOCK LETTERS WITHIN THE BOXES ONLY)

Name

PAN DOB D D M M Y Y Y Y

CKYC KIN

Mobile No* Incase of minor, provide guardian mobile no Email ID* Incase of minor, provide guardian email ID

E-mail ID*: ☐ **Self** OR ☐ **Family Member** (Relationship)..... ***Mandatory**

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓) ☐ Account Statement ☐ Annual Report ☐ Other Statutory Information

Note Primary holder(s) / guardian own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fradulent transactions.

Father / Guardian / PoA Name

PAN DOB D D M M Y Y Y Y

CKYC KIN

Relationship of guardian

SECOND HOLDER DETAILS

Name

PAN DOB D D M M Y Y Y Y

CKYC KIN

THIRD HOLDER DETAILS

Name

PAN DOB D D M M Y Y Y Y

CKYC KIN

4. INVESTMENT & PAYMENT DETAILS

Scheme Name	**Sundaram Bluechip Fund** *(Cheque should be in favour of **"Sundaram Bluechip Fund"**)*
Plan	☑ Regular
Option	☐ ***Growth*** ☐ ***Dividend*** ☐ Payout ☐ Re-Investment ☐ Sweep*
	*__Dividend Sweep Target Scheme__ ..... ☐ Regular Growth ☐ Direct Growth

*(*If target scheme is not mentioned for Dividend Sweep, default scheme is "Sundaram Money Fund") Any / each correction carried out in selecting the target scheme has to be counter-signed by the investor(s) to make it a valid selection*

Payment Mode	☐ OTM* ☐ Cheque* ☐ DD* ☐ RTGS* ☐ Fund Transfer*	*(*Subject to realisation)*
Reference No.		
Amount	Figures:	
	Words:	

Account Type	☐ Savings	☐ NRO	☐ NRE	☐ Current	☐ FCNR	☐ Others	Drawn on Bank, Branch

5. BANK ACCOUNT DETAILS FOR PAYOUT

(Please submit a cancelled cheque copy with name printed therein)

IFSC CODE | MICR

Bank Account No

Bank Name | Bank Branch

Account Type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR Others➔ Please specify

Address of First / Sole Applicant

Town: City/District: State: PIN Code:

Overseas Address (in case of NRIs/FIIs) (Mandatory)

6. Systematic Transaction Registration Details – Please indicate details of your SIP (skip this section if you wish to make a one-time investment)

Mode of SIP ☐ OTM/NACH *(please submit SIP Registration Form)*

SIP Period

SIP Starting	SIP Ending
M M Y Y Y Y	M M Y Y Y Y

Each SIP Amount ₹

SIP Date

for Monthly/Quarterly frequency only
Any Date 01 to 31
(Please mention the SIP date) .....
Weekly®: Only on Wednesday

SIP Frequency

☐ Weekly® (Minimum amount ₹ 1000 Every Wednesday. Minimum No of installments 5)
☐ Monthly (Minimum amount ₹ 100 Minimum No of installments 20)
☐ Quarterly (Minimum amount ₹ 750 Minimum No of installments 7)

Normal STP		SWP	
Source Scheme		Scheme	
Target Scheme			
Amount (figures)		Amount (figures)	
Amount (words)		Amount (words)	
Frequency	Daily / Weekly / Monthly / Quarterly	Frequency	☐ Monthly ☐ Quarterly
Preferred STP date	Please tick ☐ 1 ☐ 7 ☐ 14 ☐ 20 ☐ 25	Preferred SWP date	1st working day of the month/quarter
STP Period	From Date / To Date	SWP Period	From Date / To Date

7. NOMINATION DETAILS

If you do not wish to nominate Tick here ☐

1st Nominee Name:

Relationship:.....
Address:.....
.....
Proportion (%)* in which units will be shared by first nominee.....%

If nominee is a minor:
Date of birth: D D M M Y Y Y Y

Name of Guardian:.....
Address of Guardian:.....

2nd Nominee Name:

Relationship:.....

Address:.....

.....

Proportion (%)* in which units will be shared by second nominee.....%

If nominee is a minor:
Date of birth: D D M M Y Y Y Y

Name of Guardian:.....

Address of Guardian:.....

3rd Nominee Name:

Relationship:.....

Address:.....

.....

Proportion (%)* in which units will be shared by third nominee.....%

If nominee is a minor:
Date of birth: D D M M Y Y Y Y

Name of Guardian:.....

Address of Guardian:.....

** Proportion (%) in which units will be shared by each nominee should aggregate to 100%*

Signature of 1st / Sole Applicant / Guardian *Signature of 2nd Applicant* *Signature of 3rd Applicant*

8. OCCUPATION

	Private Sector Service	Public Sector Service	Government Service	Business	Professional	Agriculturist	Retired	Student	Forex Dealer	Others	Others
1st Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify
2nd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify
3rd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify

GROSS ANNUAL INCOME

	Below 1 Lac	1-5 Lacs	5-10 Lacs	10-25 Lacs	> 25 Lacs - 1 Crore	> 1 Crore	Net worth (Mandatory for Non-Individuals) - ₹	As on date
1st Holder	☐	☐	☐	☐	☐	☐	☐	D D M M Y Y Y Y
2nd Holder	☐	☐	☐	☐	☐	☐	☐	D D M M Y Y Y Y
3rd Holder	☐	☐	☐	☐	☐	☐	☐	D D M M Y Y Y Y

PEP & UBO Details

	I am politically exposed person		Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If no, Please attach mandatory UBO declaration)		Foreign Exchange / Money Charger Services		Faming / Gambling / Lottery / Casino Services		Money Lending Pawning	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1st Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
2nd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
3rd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐

9. FATCA-CRS DETAILS For Individuals (Mandatory) **Non Individual investors & HUF should mandatorily fill separate FATCA-CRS Annexure**

The below information is required for all applicant(s) / guardian / PoA holder

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Are you a Tax Resident of Country other than India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
2. Is your Country of Birth/ citizenship other than India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
If you have answered YES to any of above, please provide the below details			
Country of Tax Residence			
Nationality			
Tax Identification Number$ or Reason for not providing TIN			
Identification Type (TIN or Other, please specify)			
Residence address for tax purposes (include City, State, Country & Pin code)			
Address Type	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office
City of birth			
Country of birth			

$ In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.

SUNDARAM MUTUAL

Acknowledgement

Sundaram Asset Management Company Limited, CIN: U93090TN1996PLC034615,
I & II Floor, 46 Whites Road, Chennai - 600 014. Contact No. 1860 425 7237 (India) +91 40 2345 2215 (NRI)

Application No.

Received From Mr./Mrs./Ms. .....

Communication in connection with the application should be addressed to the Registrar **KFin Technologies Private Limited**, Registrar and Transfer Agents, Unit: Sundaram Mutual Fund, Central Processing Center, 23, Cathedral Garden Road, Nungambakkam, Chennai 600034. Contact No: 1860 425 7237 (India) +91 40 2345 2215 (NRI)

Please Note: All Purchases are subject to realisation of cheques / demand drafts.

ISC's Signature & Stamp

FATCA-CRS Instructions

Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any ***change in any information provided by you, please ensure you advise us*** promptly, ***i.e., within 30 days***. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.

10. Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for OTM/NACH • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please (✓) ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a ☐ Repatriation Basis ☐ Non-Repatriation Basis. I/We further declare that I/We am/are not a citizen of USA/Canada.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Certification: I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions) and hereby certify that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions and hereby accept the same.
I/We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal income tax purposes. or in respect of any other information as may be required under applicable tax laws.

Stamp Duty: Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including dividend reinvestment and switch-in) to the Unit holders would be reduced to that extent

☐ **(Applicable only for investments through RIA) RIA/PMRN Consent Declaration:** I/We, the above-named person/s have invested in the Scheme(s) of Sundaram Mutual Fund under Direct Plan under the above mentioned Account No(s)./Folio No(s).
I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the below mentioned SEBI-Registered Investment Advisor/Portfolio Manager:

SEBI Registration No.

Name:

Address

City PIN

E-Mail ID

Tel.No

Name of First / Sole Applicant / Guardian	Name of Second Applicant	Name of Third Applicant
✍ Signature of First / Sole Applicant / Guardian	✍ Signature of Second Applicant	✍ Signature of Third Applicant

Particulars				
Scheme Name / Plan / Option / Sub-option	Goal	Cheque / DD / Payment Instrument Number / Date	Drawn on (Name of Bank & Branch)	Amount in figures (₹) & Amount in words
Sundaram Bluechip Fund	☐ Lumpsum Purchase ☐ SIP			