

# RELIANCE

## Mutual Fund

### Key Information Memorandum Cum Application Form

Please refer complete details on all pages and scheme description/details while applying.

To be filled in Capital letters & in Blue/Black ink only.

Reliance Fixed Horizon

Fund - XXX - Series 20

(A Close Ended Income Scheme)

NFO Opening Date : May 20, 2016

NFO Closing Date : May 30, 2016

Tenure : 1100 Days

Corporate Office Address: Reliance Centre, 7th Floor, South Wing & 5th Floor, North Wing, Near Prabhat Colony, Santacruz (East), Mumbai - 400 055.

#### 1. DISTRIBUTOR / BROKER INFORMATION (Refer Instruction No. 34)

Name & Broker Code / ARN	Sub Broker / Sub Agent ARN Code	*Employee Unique Identification Number	Sub Broker / Sub Agent Code	
ARN- <b>48012</b> (ip here)		<b>E053085</b>		<b>SIGN HERE</b> First / Sole Applicant / Guardian
				<b>SIGN HERE</b> Second Applicant
				<b>SIGN HERE</b> Third Applicant

\*Please sign alongside in case the EUIN is left blank/not provided.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

#### TRANSACTION CHARGES (Mandatory to be filled if you have invested through a distributor)

(Please tick (✓) any one) ☐ I am a First time investor across Mutual Funds **OR** ☐ I am an existing investor in Mutual Funds

In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, of ₹ 150 (new investor) & ₹ 100 (existing investor) are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

#### 2. EXISTING INVESTOR'S FOLIO NUMBER

#### 3. GENERAL INFORMATION

\*MODE OF HOLDING : ☐ Single ☐ Joint (Default) ☐ Any one or Survivor

#### 4. FIRST APPLICANT DETAILS

NAME

PAN / PEKRN\* (First Applicant)  PAN / PEKRN\* (Guardian)

Name of Guardian if first applicant is minor / Contact Person for non individuals

Guardian's Relationship With Minor	Date of Birth of 1st Applicant	Proof of Date of Birth and Guardian's Relationship with Minor
<input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Court Appointed Guardian	<input type="text"/>	<input type="radio"/> Birth Certificate <input type="radio"/> Passport <input type="radio"/> Others (please specify)

**OCCUPATION\*\*\*** : ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Retired ☐ Government Service/Public Sector ☐ Business ☐ Forex Dealer ☐ Student ☐ Private Sector Service ☐ Others

**STATUS^** : ☐ Resident Individual ☐ PSU ☐ AOP/BOI ☐ Minor through Guardian ☐ HUF ☐ Trust / Charities / NGOs ☐ Society ☐ FI / FII ☐ NRI ☐ Company/Body Corporate ☐ Sole Proprietor ☐ Defence Establishment ☐ PIO ☐ Bank ☐ FPI\*\*\* ☐ Government Body ☐ Partnership Firm ☐ Others

(\*\*\*as and when applicable)

**GROSS ANNUAL INCOME DETAILS\*\*\*** Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 Crore ☐ >1 Crore

**NET-WORTH\*\*\*** in ₹  (Net worth should not be older than 1 year) as on (Date)  (Mandatory for Non Individuals)

Are you a Politically Exposed Person (PEP)\*\*\* ☐ Yes ☐ No Are you related to a Politically Exposed Person (PEP) ☐ Yes ☐ No

**Are you involved / providing any of the mentioned services** : ☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services ☐ Money Lending / Pawning ☐ None of the above

**Note**: In case First Applicant is Non Individual please attach FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form (Ref Ins No. 40)

\*\*In case First Applicant is Minor then details of Guardian will be required.

#### 5. SECOND APPLICANT DETAILS

NAME  PAN / PEKRN\*

**OCCUPATION^** : ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Retired ☐ Government Service/Public Sector ☐ Business ☐ Forex Dealer ☐ Student ☐ Private Sector Service ☐ Others **STATUS^**: ☐ NRI ☐ Resident Individual

**GROSS ANNUAL INCOME DETAILS^** Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 Crore ☐ >1 Crore

**NET-WORTH^** in ₹  (Net worth should not be older than 1 year) as on (Date)

Are you a Politically Exposed Person (PEP)^ ☐ Yes ☐ No Are you related to a Politically Exposed Person (PEP) ☐ Yes ☐ No

#### 6. THIRD APPLICANT DETAILS

NAME  PAN / PEKRN\*

**OCCUPATION^** : ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Retired ☐ Government Service/Public Sector ☐ Business ☐ Forex Dealer ☐ Student ☐ Private Sector Service ☐ Others **STATUS^**: ☐ NRI ☐ Resident Individual

**GROSS ANNUAL INCOME DETAILS^** Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 Crore ☐ >1 Crore

**NET-WORTH^** in ₹  (Net worth should not be older than 1 year) as on (Date)

Are you a Politically Exposed Person (PEP)^ ☐ Yes ☐ No Are you related to a Politically Exposed Person (PEP) ☐ Yes ☐ No

\*Mandatory for all type of Investors. It is mandatory for investors to be KYC compliant through a Key Registered Agency (KRA) appointed by SEBI prior to investing in Reliance Mutual Fund. Refer instruction no.17,18 & 38

#### ACKNOWLEDGEMENT

Received Purchase Application from

for allotment of Units under ☐ Reliance Fixed Horizon Fund - XXX - Series 20 - Regular Plan ☐ Growth Option ☐ Dividend Payout Option

Cheque / DD No.  Dated  /  /  Rs.

Drawn on Bank

App. No.

Signature, Date & Stamp

# Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

Sole/First Applicant/Guardian			Second Applicant			Third Applicant		
Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided. <sup>56</sup> In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Sole/First Applicant/Guardian		Second Applicant		Third Applicant	
Country of Birth		Country of Birth		Country of Birth	
Country of Nationality		Country of Nationality		Country of Nationality	

**## Correspondence Address (P.O. Box is not sufficient)    ## Please note that your address details will be updated as per your KYC records with CVL / KRA**

																		Landmark			
City											Pin Code						State				

**Overseas Address (Mandatory for FIIs/NRIs/PIOs)**

Email ID

<b>Mobile</b>	<b>+ (Country Code)</b>	<b>Tel. No.</b>	<b>STD Code</b>	<b>Office</b>	<b>Residence</b>
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Please register your Mobile No & Email Id with us to get instant transaction alerts via SMS & Email. Investors providing Email Id would mandatorily receive only E- Statement of Accounts in lieu of physical Statement of Accounts.

Bank Name	M a n d a t o r y																							
Account No.	M a n d a t o r y												A/c. Type (✓)		SB		Current		NRO		NRE		FCNR	
Branch Address													Branch City											
PIN					IFSC Code				For Credit via RTGS								9 Digit MICR Code*				For Credit via NEFT			

Please ensure the name in this application form and in your bank account are the same. Please update your IFSC and MICR Code in order to get payouts via electronic mode in to your bank account.

<b>Scheme</b>	<input checked="" type="checkbox"/> Reliance Fixed Horizon Fund - XXX - Series 20 - Regular Plan	<b>Option ( Please✓)</b>	<input type="checkbox"/> Growth** <input type="checkbox"/> Dividend Payout
		(For Product Labeling please refer last page of application form)	
<b>Payment Details (Please issue cheque favouring scheme name)</b>			
Mode of Payment	<input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Funds Transfer <input type="checkbox"/> RTGS / NEFT		
Investment Amount (Rs.)	DD Charges (if applicable) (Rs.)	Net Amount~ (Rs.)	
InstrumentNo.	Dated	Drawn on Bank	
Bank Branch	City		

(^ Default option if not selected) ~Units will be allotted for the net amount minus the transaction charges if applicable.

**In case of existing investor, nomination details mentioned in the below table will replace the existing details registered in the folio**

Nominee Name	Guardian Name (in case Nominee is Minor)	Date of Birth of Minor	Allocation (%)	Sign of Nominee	Sign of Guardian	Signature of Applicants
						1st App.
						2nd App.
						3rd App.



Simply send **SMS to 966 400 1111 to avail below facilities		
Types of Facilities	Single Folio	Multiple Folio
NAV	SMS mynav	SMS mynav <space> last 6 digits of folio
Balance	SMS Balance	SMS balance <space> last 6 digits of folio
Last 3 Transaction	SMS txn	SMS txn <space> last 6 digits of folio
Statement thru mail	SMS ESOA	SMS ESOA <space> last 6 digits of folio

\*\*SMS charges apply



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For more details : Visit : [www.reliancecmutual.com](http://www.reliancecmutual.com)

You can also follow us on



**DEMAT ACCOUNT DETAILS** - These details are compulsory if the investor wishes to hold the units in DEMAT mode. Ref. Instruction No. 30.

Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.

<b>National Securities Depository Limited</b>	Depository participant Name _____	<b>Central Depository Securities Limited</b>	Depository participant Name _____																
	DP ID No. <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center; width: 100px;"> <tr><td style="width: 15px;">I</td><td style="width: 15px;">N</td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td></tr> </table>		I	N					Target ID No. <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center; width: 100px;"> <tr><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td></tr> </table>										
	I		N																
Beneficiary Account No. <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center; width: 100px;"> <tr><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td><td style="width: 15px;"></td></tr> </table>																			
Enclosures (Please tick any one box) : <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)																			

<input type="checkbox"/> <b>Partial Switch</b> Amount: Rs _____ or Units: _____	OR	<input type="checkbox"/> <b>Full Switch</b>
<b>From Scheme</b> _____ <b>Plan</b> _____ <b>Option</b> _____		
<b>To Scheme :</b> <input checked="" type="checkbox"/> Reliance Fixed Horizon Fund - XXX - Series 20 - Regular Plan		
		<input type="checkbox"/> Growth Option <input type="checkbox"/> Dividend Payout Option




Switch over application needs to be submitted only at Designated Investor Service Centre (DISC) of RMF

☐ Switch to Scheme/Plan : \_\_\_\_\_ ☐ Proceeds to be dispatched / Credit to Bank A/c (as may be applicable.) (Default)

**As a default mechanism, the Scheme shall be fully redeemed on the date of maturity and redemption proceeds shall be dispatched to / credited in the bank account of the unitholders within 10 Business Days from the date of redemption or repurchase.**

I/We would like to invest in Reliance Fixed Horizon Fund - XXX - Series 20 subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound by the details of the SAI, SID & KIM including details relating to various services including but not limited to Reliance Any Time Money Card. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the Reliance Nippon Life Asset Management Limited (RNLAM) (formerly Reliance Capital Asset Management Limited) liability. I understand that the RNLAM may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I agree RNLAM can debit from my folio for the service charges as applicable from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. Further, I agree that the transaction charge (if applicable) shall be deducted from the subscription amount and the said charges shall be paid to the distributors. I/We hereby confirm that I /We are not United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada.

- ☐ I confirm that I am resident of India.
- ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.
- ☐ I have read and understood Instruction no. XIII and hereby agree to abide by the same. I hereby declare that the information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income Tax Rules, 1962 and the information provided by me /us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete.

 First / Sole Applicant / Guardian	 Second Applicant	 Third Applicant
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## CHECK LIST

Please ensure that:

- ☐ Your Application Form is complete in all respect, Name, Address & contact details are mentioned in full, signed by all applicants.
- ☐ Bank Account Details are entered completely and correctly.
- ☐ Permanent Account Number (PAN) of all the applicants are mandatory.  
Please refer to instruction no. 17 for details.
- ☐ For KYC, please refer to instruction no.18 & for PAN Exempt KYC Refer instruction no.38
- ☐ Appropriate Option is ticked.
- ☐ The Cheque / DD is drawn in favour of "Reliance Fixed Horizon Fund", dated and duly signed.
- ☐ Application Number is mentioned on the reverse of the Cheque / DD.
- ☐ Documents as listed alongside are submitted along with the Application (as applicable to your specific case).

Documents	Companies	Trusts	Societies	Partnership Firms	FIs	Investments through Constituted Attorney
1. Resolution/Authorisation to invest		✓	✓	✓	✓	
2. List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓	✓	✓	✓
3. Memorandum & Articles of Association	✓					
4. Trust Deed		✓				
5. Bye-Laws			✓			
6. Partnership Deed				✓		
7. Overseas Auditor's Certificate					✓	
8. Notarised Power of Attorney						✓
9. Proof of PAN	✓	✓	✓	✓	✓	✓
10. KYC Compliant	✓	✓	✓	✓	✓	✓