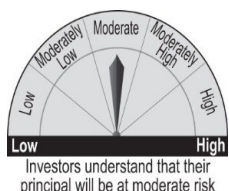


**Name of the Asset Management Company:**  
 ICICI Prudential Asset Management Company Limited  
**Name of the Mutual Fund:** ICICI Prudential Mutual Fund

**KEY INFORMATION MEMORANDUM**  
**ICICI Prudential Multiple Yield Fund - Series 11 – Plan D – 1361 Days**  
**A Close-Ended Income Fund**

This Product is suitable for investors who are seeking*:	Riskometer
<ul style="list-style-type: none"> <li>Long term wealth creation solution</li> <li>A Hybrid fund that seeks to generate income by investing in fixed income securities and aim for capital appreciation by investing in equity and equity related instruments.</li> </ul>	 <p>Investors understand that their principal will be at moderate risk</p>
*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.	

Offer for units of Rs.10 per unit during the New Fund Offer Period only.

Name of the Scheme	New Fund Offer opens	New Fund Offer closes*
ICICI Prudential Multiple Yield Fund - Series 11 – Plan D – 1361 days	October 20, 2016	November 03, 2016

\*The AMC reserves the right to extend or pre close the New Fund Offer (NFO) period, subject to the condition that the NFO Period including the extension, if any, shall not be for more than 15 days or such period as allowed by SEBI.

Being a close-ended fund the Scheme will not reopen for subscriptions. The Scheme is proposed to be listed on BSE Limited (BSE).

<b>Sponsors:</b>	<b>ICICI Bank Limited:</b> Regd. Office: Landmark, Race Course Circle, Vadodara 390 007, India; and <b>Prudential plc</b> (through its wholly owned subsidiary, Prudential Corporation Holdings Limited): Laurence Pountney Hill, London EC4R 0HH, United Kingdom
<b>Trustee :</b>	<b>ICICI Prudential Trust Limited</b> <b>Corporate Identity Number</b> - U74899DL1993PLC054134 Regd. Office: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi-110 001.
<b>Investment Manager:</b>	<b>ICICI Prudential Asset Management Company Limited</b> <b>Corporate Identity Number</b> - U99999DL1993PLC054135 <b>Regd. Office:</b> 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi-110 001. <b>Corporate Office:</b> One BKC 13th Floor, Bandra Kurla Complex, Mumbai - 400051, Tel: +91 22 2652 5000 Fax: +91 22 2652 8100, website www.icicipruamc.com, email enquiry@icicipruamc.com. <b>Central Service Office:</b> 2 Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313. Email id: enquiry@icicipruamc.com Website: www.icicipruamc.com

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by AMC, Key Personnel, Investor's rights & services, risk factors, penalties & litigations etc. investor should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centre or distributors or from the website [www.icicipruamc.com](http://www.icicipruamc.com)

The particulars of ICICI Prudential Multiple Yield Fund - Series 11 – Plan D – 1361 days, the mutual fund Scheme offered under this KIM, have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI), and the Units being offered for public subscription have not been approved or

disapproved by the SEBI nor has the SEBI certified the accuracy or adequacy of this KIM.

The mutual fund or AMC and its empanelled brokers have not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/portfolio with regard to the scheme.

**Disclaimer of BSE Limited (BSE):**

It is to be distinctly understood that the permission given by BSE Limited should not in any way be deemed or construed that the SID has been cleared or approved by BSE Ltd. nor does it certify the correctness or completeness of any of the contents of the SID. The investors are advised to refer to the SID for the full text of the Disclaimer clause of the BSE Limited.

**INVESTMENT OBJECTIVE:**

The primary objective of the Scheme is to seek to generate income by investing in a portfolio of fixed income securities/ debt instruments. The secondary objective of the Scheme is to generate long term capital appreciation by investing a portion of the Scheme's assets in equity and equity related instruments.

However, there can be no assurance that the investment objective of the Scheme will be realized.

**ASSET ALLOCATION PATTERN:**

Under normal circumstances, the asset allocation and the credit rating of the instruments will be as follows:

Instruments	Indicative allocations (% of total assets)		Risk Profile
	Maximum	Minimum	
Debt <sup>s</sup> securities (including government securities)	95	65	Low to Medium
Money Market Securities, Cash and Cash Equivalent	30	0	Low to Medium
Equity or equity related securities	35	5	Medium to High

Exposure in ADR/ GDR/ Foreign Securities can be up to 35% of Net Assets.

Exposure in Derivatives can be up to 50% of Net Assets.

The cumulative gross exposure through equity, debt and derivative positions shall not exceed 100% of the net assets of the Scheme.

**Note:**

- <sup>s</sup>If the Scheme decides to invest in securitised debt, it could be up to 50% of the net assets invested in debt securities (Single loan and / or Pool loan Securitized debt).
- The maximum debt derivative exposure will be restricted to 50% of the debt component of the Scheme.
- If the Scheme decides to invest in equity derivatives it could be up to 100% of the allocation to equity. The margin money requirement for the purpose of derivative exposure may be held in the form of term deposits. The Scheme shall not take leverage positions and total investments, including investments in equity and other securities and gross exposure to derivatives, if any, shall not exceed net assets under management of the Scheme.
- If the Scheme decides to invest in ADR/GDR/foreign securities it could be up to 100% of the allocation to equity. Investments in ADR/GDR/foreign securities shall be subject to the limits and conditions specified in SEBI Circular dated September 26, 2007.

The Scheme at all points in time will conform to the minimum equity allocation. However, on account of market conditions and considering the risk reward analysis of investing in equity and taking into consideration the interest of unit holders, the Scheme may invest the uninvested portion of equity allocation (over and above the minimum specified allocation for equity) in highest rated CDs, CBLs, Repo and Reverse Repo in Government Securities and Cash/Cash equivalent.

As the scheme is close ended, the Scheme will invest in Debt securities maturing on or before the maturity of the Scheme.

The Scheme will have exposure in the following securities / instruments:

Credit Rating \ Instruments	A	Sovereign /Not applicable
NCD	60-65%	-
Equity and equity related securities	-	10-15%
Government Securities	-	25-30%

This Scheme will not have exposure to Securitised Debt.  
The tenure of the Scheme is 1361 days from the date of allotment.

- The Scheme shall endeavour to invest in instruments having credit rating as indicated above or higher.
- In case instruments/ securities as indicated above are not available, taking into account risk – reward analysis of such instruments/ securities, the Scheme may invest in Certificate of Deposits (CDs) having highest ratings/ CBLOs/ Reverse Repo and Repo in Government Securities / T-bills. Such deviations may exist till suitable instruments of desired credit quality are available.
- All investment shall be made based on the rating prevalent at the time of investment. In case of downgrades of a particular instrument, the Fund Manager shall endeavor to rebalance the portfolio on a best effort basis within 30 days from the date of such deviation, provided such a rebalancing is possible on risk reward analysis. In case the security is rated by more than one rating agency, the most conservative rating would be considered.
- Post New Fund Offer period and towards the maturity of the Scheme, there may be higher allocation to cash and cash equivalent.
- In the event of any deviations from the floor and ceiling of credit ratings specified for any instrument, the same shall be rebalanced within 30 days from the date of such deviation, provided such rebalancing is possible on risk reward analysis.
- Securities with rating A shall include A+ and A-.
- Further, the allocation may vary during the tenure of the Scheme. Some of the instances are : (i) coupon inflow; (ii) the instrument is called or bought back by the issuer (iii) in anticipation of any adverse credit event. Such deviations may exist and increase of such deviations the Scheme may invest in CDs having highest rating/ CBLOs /Reverse Repos and Repo in Government Securities / T Bills.

There would not be any variation from the intended portfolio allocation as stated in the launch Scheme Information Document / Key Information Memorandum on the final allocation, except as specified in point nos. 1, 2, 3, 4, 5 and 7.

In the event of any deviation from the asset allocation stated above, the Fund Manager shall rebalance the portfolio within 30 days from the date of such deviation except in case where the deviation is on account of conditions stated in point 1, 2, 3 and 7 above.

It may be noted that no prior intimation/indication would be given to investors when the composition/asset allocation pattern under the scheme undergoes changes within the permitted band as indicated above or for changes due to defensive positioning of the portfolio with a view to protect the interest of the unitholders on a temporary basis. The investors/unit holders can ascertain details of portfolio of the Scheme as on the last date of each month on AMC's website at [www.icicpruamc.com](http://www.icicpruamc.com) which is displayed as per SEBI Regulations.

#### **Credit Evaluation Policy for investment in debt securities:**

The AMC aims to identify securities, which offer superior levels of yield at lower levels of risks so the Investment process is firmly research oriented. It comprises qualitative as well as quantitative measures. Qualitative factors like management track record, group companies, resource-raising ability, extent of availability of banking lines, internal control systems, etc are evaluated in addition to the business model and industry within which the issuer operates as regards industry/model-specific risks working capital requirements, cash generation, seasonality, regulatory environment, competition, bargaining power, etc. Quantitative factors like debt to equity ratio, Profit and loss statement analysis, balance sheet analysis are taken into consideration.

Macroeconomic call is taken on interest rate direction by careful analysis of various influencing factors like Inflation, Money supply, Private sector borrowing, Government borrowing, Currency market movement, Central Bank policy, Local fiscal and monetary policy, Global interest rate scenario and Market sentiment. Interest rate direction call is supplemented by technical analysis of market and short term influencing

factors like trader position, auction/issuance of securities, release of economic numbers, offshore market position, etc. Interest Rate direction call and anticipation of yield curve movement forms the basis of portfolio positioning in duration and spread terms.

Credit research is done on a regular basis for corporate having high investment grade rating. Credit research includes internal analysis of rating rationale, and financial statements (annual reports and quarterly earnings statements) of the issuer, for the last 1-3 years evaluating amongst other metrics, relevant ratios of profitability, capital adequacy, gearing, turnover and other inputs from external agencies. On an ongoing basis, the credit analyst keeps track of credit profile of the issuer, possible credit risks reflected in change in outlook of rating agencies, external developments affecting the issuer etc. Internal credit call is a pre-requisite for all investments since the investment universe is primarily high-grade credit instruments. Credit research is also used to minimize credit migration risk and for generating relative value trade ideas. Stable to higher rating on maturity vis-à-vis issuance is the guiding factor for investment decisions from credit point of view.

#### **WHERE WILL THE SCHEME INVEST?**

Subject to the Regulations and the disclosures as made under the section " How the Scheme will allocate its Assets", the corpus of the Plan(s) can be invested in any (but not exclusively) of the following securities/debt instruments:

- Non Convertible Debentures (including Bonds) and non-convertible portion of convertible securities;
- Money Market Instruments permitted by SEBI/RBI;
- Central and State Government Securities;
- Any other domestic fixed income securities as permitted by SEBI / RBI from time to time.
- Equity and equity related securities including convertible bonds and debentures and warrants carrying the right to obtain equity shares.
- ADRs/GDRs issued by Indian Companies, subject to the guidelines issued by Reserve Bank of India and Securities and Exchange Board of India.
- Derivative instruments like Interest Rate Swaps, Forward Rate Agreements, Stock and Index Futures & Options, Exchange Traded Interest Rate Futures Currency derivatives and such other derivative instruments permitted by SEBI/RBI.
- Bank Fixed Deposits and any such instruments as permitted by SEBI and in accordance with the final allocation.
- Units of Mutual Fund subject to applicable regulations.

The securities/debt instruments mentioned above could be listed or unlisted, secured or unsecured of varying maturity. The Scheme will not undertake repos in corporate debt securities.

If the Scheme decides to invest in equity derivatives it could be upto 100% of the allocation to equity. The margin money requirement for the purpose of derivative exposure may be held in the form of term deposits. The Scheme shall not take leverage positions and total investments, including investments in equity and other securities and gross exposure to derivatives, if any, shall not exceed net assets under management of the Scheme.

**Negative list of sectors:** In case of Debt allocation under the Scheme, the Scheme will not invest in Companies falling within Gems and Jewellery, Real Estate Sector and Leather and Leather Products Sectors.

The Scheme will not invest/ have exposure in the following:

- Companies falling within Gems & Jewellery, Real Estate Sector and Leather and Leather Products Sectors.
- Repos in corporate debt securities.
- Short Selling
- Securities Lending
- Securitized Debt
- Unrated Securities (except CBLOs/ T-Bills/Repo and Reverse Repo in Government Securities).

**Sector restrictions:** Total exposure in a particular sector shall not exceed 25% of the net assets of the Scheme. Sectoral classification as prescribed by AMFI shall be used in this regard. This limit shall not be applicable to investments in Bank CDs, CBLO, G-Secs, T-Bills, AAA rated securities issued by Public Financial Institutions, Public Sector Banks and short term deposits of scheduled commercial banks.

However, an additional exposure not exceeding 10% of the net assets of the Scheme (over and above the limit of 25%) shall be allowed by way of increase in exposure to Housing Finance Companies (HFCs) only as part of the financial services sector. The additional exposure to such

securities issued by HFCs must be rated AA and above and these HFCs should be registered with National Housing Bank (NHB) and the total investment/ exposure in HFCs shall not exceed 25% of the net assets of the scheme.

**INVESTMENT STRATEGY:**

**For Debt Investments**

As the Scheme is close ended, the Scheme will invest in a basket of permissible securities maturing on or before maturity of the Scheme. The Scheme will invest in securities with a view to hold them till the maturity of the Scheme. To that effect the Scheme will follow a buy and hold strategy to investment. The AMC aims to identify securities, which offer superior levels of yield at lower levels of risks. With the aim of controlling risks, rigorous in-depth credit evaluation of the securities proposed to be invested in will be carried out by the investment team of the AMC. The credit evaluation includes a study of the operating environment of the company, the past track record as well as the future prospects of the issuer, the short as well as longer-term financial health of the issuer. The AMC will be guided by the ratings of such Rating Agencies as approved by SEBI to carry out the functioning of Rating Agencies

In addition, the investment team of the AMC will study the macro economic conditions, including the political, economic environment and factors affecting liquidity and interest rates. The AMC would use this analysis to attempt to predict the likely direction of interest rates and position the portfolio appropriately to take advantage of the same.

**For Equity Investments**

For the equity portion of the corpus, the AMC intends to invest in stocks, which will be bought, keeping in mind the time horizon of the Scheme. Stock specific risk will be minimized by investing only in those companies that have been thoroughly analyzed by the Fund Management team at the AMC. The AMC will also monitor and control maximum exposure to any one stock or one sector.

Depending on overall portfolio considerations and depending on market conditions, the fund manager may also have allocations to equity derivatives. The derivative portfolio will comprise of futures and options on the Nifty Index and will be actively managed by the fund manager within the overall asset allocation of the fund. The allocation to equity derivatives along with cash equity will be within the overall equity allocation as per the asset allocation of the scheme.

**RISK PROFILE OF THE SCHEME:**

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme Specific Risk Factors summarised below.

**Scheme Specific Risk Factors and Risk Management Strategies:**

Investments in the scheme may be affected by trading volumes, settlement periods, fluctuations in interest rates, liquidity risk, credit risk, reinvestment risk, settlement risk, regulatory risk, counter party risk and securitized debt.

**Risk management strategies:** The Fund by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing in debt markets. The risk control process involves identifying & measuring the risk through various risk measurement tools. The Fund has identified following risks of investing in debt and designed risk management strategies, which are embedded in the investment process to manage such risks.

Risk & Description	Risk mitigants / management strategy
<b>Risks associated with Debt investments</b>	
<p><b>Market Risk</b> As with all debt securities, changes in interest rates may affect the Scheme’s Net Asset Value as the prices of securities generally increase as interest rates decline and generally decrease as interest rates rise. Prices of long-term securities generally fluctuate more in response to interest rate changes than do short-term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.</p>	<p>The Scheme will invest in a basket of debt and money market securities maturing on or before maturity of the Scheme with a view to hold them till the maturity of the Scheme. While the interim NAV will fluctuate in response to changes in interest rates, the final NAV will be more stable. To that extent the interest rate risk will be mitigated at the maturity of the scheme.</p>

<p><b>Liquidity or Marketability Risk</b> This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM).</p>	<p>The Scheme may invest in government securities, corporate bonds and money market instruments. While the liquidity risk for government securities, money market instruments and short maturity corporate bonds may be low, it may be relatively high in case of medium to long maturity corporate bonds. Liquidity risk is today characteristic of the Indian fixed income market. The fund will however, endeavor to minimize liquidity risk by investing in securities having a liquid market.</p>
<p><b>Credit Risk</b> Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e., will be unable to make timely principal and interest payments on the security).</p>	<p>Management analysis will be used for identifying company specific risks. In order to assess financial risk a detailed assessment of the issuer’s financial statements will be undertaken to review its ability to undergo stress on cash flows and asset quality. A detailed evaluation of accounting policies, off-balance sheet exposures, notes, auditors’ comments and disclosure standards will also be made to assess the overall financial risk of the potential borrower.</p>
<p><b>Reinvestment Risk</b> This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The additional income from reinvestment is the “interest on interest” component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.</p>	<p>Reinvestment risks will be limited to the extent of coupons received on debt instruments, which will be a very small portion of the portfolio value.</p>
<p><b>Derivatives Risk</b> As and when the Scheme trades in the derivatives market there are risk factors and issues concerning the use of derivatives since derivative products are specialized instruments that require investment techniques and risk analyses different from those associated with stocks and bonds. There is the possibility that a loss may be sustained</p>	<p>The Scheme has provision for using derivative instruments for portfolio balancing and hedging purposes. Interest Rate Swaps will be done with approved counter parties under pre-approved ISDA agreements. Mark to Market of swaps, netting off of cash flow and default provision clauses will be provided as per international best practice on a reciprocal basis. Interest rate swaps and</p>

by the portfolio as a result of the failure of another party (usually referred to as the "counter party") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mis-pricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.	other derivative instruments will be used as per local (RBI and SEBI) regulatory guidelines.
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Risks & Description	Risk mitigants / management strategy
<b>Risks associated with Equity investments</b>	
<b>Market Risk</b> The scheme is vulnerable to movements in the prices of securities invested by the scheme, which could have a material bearing on the overall returns from the scheme.	Market risk is a risk which is inherent to an equity scheme. The scheme may use derivatives to limit this risk.
<b>Liquidity risk</b> The liquidity of the Scheme's investments is inherently restricted by trading volumes in the securities in which it invests.	As such the liquidity of stocks that the fund invests into could be relatively low. The fund will try to maintain a proper asset-liability match to ensure redemption / Maturity payments are made on time and not affected by liquidity of the underlying stocks.
<b>Derivatives Risk</b> As and when the Scheme trades in the derivatives market there are risk factors and issues concerning the use of derivatives since derivative products are specialized instruments that require investment techniques and risk analyses different from those associated with stocks and bonds.	Derivatives will be used for the purpose of hedging/ portfolio balancing purposes or to improve performance and manage risk efficiently. Derivatives will be used in the form of Index Options, Index Futures, Stock Options and Stock Futures and other instruments as may be permitted by SEBI. All derivatives trade will be done only on the exchange with guaranteed settlement. No OTC contracts will be entered into.
<b>Currency Risk</b> The Scheme may invest in foreign securities as permitted by the concerned regulatory authorities in India. Since the assets may be invested in securities denominated in foreign currency, the INR equivalent of the net assets, distributions and income may be adversely affected by changes / fluctuations in the value of the foreign currencies relative to the INR.	The Scheme may employ various measures (as permitted by SEBI/RBI) including but not restricted to currency hedging (such as currency options and forward currency exchange contracts, currency futures, written call options and purchased put options on currencies and currency swaps), to manage foreign exchange movements arising out of investment in foreign securities.
<b>Concentration Risk</b> Concentration risk represents the probability of loss arising from heavily lopsided exposure to a particular group of sectors or securities.	The Scheme will try and mitigate this risk by investing in large number of companies so as to maintain optimum diversification and keep stock-specific concentration risk relatively low.

#### Risk associated with close ended Schemes:

A close ended Scheme endeavors to achieve the desired returns only at the scheduled maturity of the Scheme. Investors who wish to exit/redeem before the scheduled maturity date may do so through the stock exchange mode, if they have opted to hold Units in a demat form, by mentioning their demat details on the NFO application form. For the units listed on the exchange, it is possible that the market price at which the units are traded may be at a discount to the NAV of

such Units. Hence, Unit Holders who sell their Units in a Scheme prior to maturity may not get the desired returns.

Although the securities in the portfolio will have high market liquidity, there is a possibility that market liquidity could get impacted on account of company/sector/general market related events and there could be a price impact at maturity while liquidating the portfolio.

#### PLANS/ OPTIONS AVAILABLE UNDER THE SCHEME

The Scheme will have following Plans and Options.

<b>Plans</b>	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days, and  ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days – Direct Plan
<b>Options</b>	Cumulative Option and Dividend Option with only Dividend Payout facility
<b>Default Option</b>	Cumulative Option

Default Option would be as follows in below mentioned scenarios:

Scenario	ARN Code mentioned / not mentioned by the investor	Option mentioned by the investor	Default Option
1	Not mentioned	Not mentioned	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan
2	Not mentioned	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days – Direct Plan	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan
3	Not mentioned	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan
4	Mentioned	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days – Direct Plan	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan
5	Direct	Not Mentioned	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan
6	Direct	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan
7	Mentioned	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days
8	Mentioned	Not Mentioned	ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan from the date of application without any exit load.

The Plans and Options stated above will have common portfolio. ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund.

Dividends, if declared, will be paid (subject to deduction of tax at source, if any) to those Unit holders whose names appear in the Register of Unit

holders on the record date. In case of Units held in dematerialized mode, the Depositories (NSDL/CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund. Further, the Trustee at its sole discretion may also declare interim dividend. However, it must be distinctly understood that the actual declaration of dividend and the frequency thereof will inter-alia, depend on the availability of distributable profits as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. On payment of dividends, the NAV will stand reduced by the amount of dividend and dividend tax (if applicable) paid.

#### **LIQUIDITY:**

No redemption/repurchase of units shall be allowed prior to the maturity of the Scheme. Investors wishing to exit may do so, only in demat mode, by selling through BSE Limited or any of the stock exchange(s) where the Scheme will be listed as the Trustee may decide from time to time.

#### **MATURITY:**

The tenure of the Scheme will be 1361 days from the date of allotment of the units under the Scheme.

The Scheme will come to an end on the maturity date unless rolled over as per SEBI Regulations. If the maturity date falls on a non business day, the immediately following business day will be considered as the maturity date for the Scheme. On maturity of the Scheme, the outstanding units shall be redeemed and proceeds will be paid to the unitholder.

The trustees reserve the right to suspend/deactivate/freeze trading, ISIN of the Plans under the Scheme. With respect to closure of the Scheme at the time of maturity, trading of units on stock exchange will automatically get suspended from the effective date mentioned in the notice. The proceeds on maturity will be payable to the persons whose names are appearing in beneficiary position details received from depositories after the suspension/deactivation /freezing of ISIN.

Maturity proceeds would be payable to investors as per the bank details provided in beneficiary position details received from depositories in case of units held in demat form.

The Scheme shall be fully redeemed at the end of the maturity period.

#### **Maturity proceeds to NRI investors:**

NRI investors shall submit Foreign Inward Remittance Certificate (FIRC) along with Broker contract note of the respective broker through whom the transaction was effected, for releasing redemption proceeds on maturity. Redemption proceeds shall not be remitted until the aforesaid documents are submitted and the AMC/Mutual Fund/Registrar shall not be liable for any delay in paying redemption proceeds.

In case of non-submission of the aforesaid documents the AMC reserves the right to deduct the tax at the highest applicable rate without any intimation by AMC/Mutual Fund/Registrar.

The Scheme shall be fully redeemed at the end of the maturity period of the Scheme.

#### **APPLICABLE NAV**

For Purchases (including Switch-ins): Being a Close-ended Scheme, units of the Scheme can be purchased during NFO period only.

In respect of valid applications received upto the Cut-off time of the last date of the New Fund offer period by the Mutual Fund along with a local cheque or demand draft payable at par at the place where the application is received, the units will be issued. No applications will be accepted after the cut-off time by the Mutual fund.

**Applicable NAV (for redemptions including switch outs):** Since the scheme is proposed to be listed, interim exits / redemptions will not be allowed in the scheme.

#### **Switch transactions during NFO:**

**Switch into the Scheme:** Investors are requested to note that they can submit a switch in request into this scheme only during the NFO period by switching out from any of the existing Fixed Maturity Plans or any other Close Ended Scheme. The switch out transaction will be processed based on the applicable Net Asset Value (NAV) on the date of maturity of such Fixed Maturity Plan or any other Close ended Scheme.

The maturity date of such Fixed Maturity Plan or close ended schemes should fall during the New Fund Offer period of the scheme.

For switch-in requests from the open ended scheme received during the New Fund Offer Period (NFO) under the Scheme, the switch-out requests from such Scheme will be effected based on the applicable NAV of such Scheme, as on the day of receipt of the switch request, subject to applicable cut-off timing provisions. However, the switch-in requests under the Scheme will be processed on the date of the allotment of the Units.

**Switch out from the scheme:** Investors are requested to note that a facility has been enabled for submitting switch out request during the NFO period or at any time prior to the maturity date of the scheme. The switch out transaction will be processed based on the applicable Net Asset Value (NAV) on the date of maturity.

This facility is enabled for switch in to any of the New Fund Offers or any open-ended scheme (except Exchange Traded Funds) of ICICI Prudential Mutual Fund. This facility is not available for units held in demat form.

Investors are requested to note that switch out requests once submitted shall not be cancelled at later date.

#### **Investors can also subscribe to the New Fund Offer (NFO) through ASBA facility.**

Outstation Cheques/Demand Drafts will not be accepted.

MICR, Transfer cheques and Real Time Gross Settlement (RTGS) requests will be accepted till the end of business hours up to November 03, 2016. Switch-in requests from equity schemes and non-equity schemes will be accepted up to November 03, 2016, till the cutoff time applicable for switches.

Switch-in request from ICICI Prudential US Bluechip Equity Fund and ICICI Prudential Global Stable Equity Fund will not be accepted.

#### **MULTIPLE BANK ACCOUNTS**

The unit holder/ investor can register multiple bank account details under its existing folio by submitting separate form available on the website of the AMC at [www.icicjpruamc.com](http://www.icicjpruamc.com) Individuals/HuF can register up to 5 different bank accounts for a folio, whereas non-individuals can register up to 10 different bank accounts for a folio.

#### **MINIMUM APPLICATION AMOUNT:**

Purchase/Switch-ins: Rs. 5,000/- and in multiples of Rs. 10 thereafter.

#### **DESPATCH OF REDEMPTION REQUEST:**

No redemption/ repurchase of units shall be allowed prior to the maturity of the scheme. Investors wishing to exit may do so by selling their units through stock exchanges. The scheme shall be fully redeemed on the date of maturity and redemption proceeds shall be paid out within 10 business days, subject to availability of all relevant documents and details.

The redemption cheque will be issued in favour of the sole/first Unitholder's registered name and bank account number and will be sent to the registered address of the sole/first holder as indicated in the original Application Form/Benpos file. The redemption cheque will be payable at par at all the places where the Customer Service Centres are located. The bank charges for collection of cheques at all other places will be borne by the Unitholder.

#### **BENCHMARK INDEX:**

The performance of the Scheme will be benchmarked against CRISIL Composite Bond Fund Index (80%) and Nifty 50 Index (20%).

The Trustees reserve the right to change the benchmark in future if a benchmark better suited to the investment objective of the Scheme is available.

#### **DIVIDEND POLICY:**

Dividends, if declared, will be paid (subject to deduction of tax at source, if any) to those Unit holders whose names appear in the Register of Unit holders on the record date. In case of Units held in dematerialized mode, the Depositories (NSDL/CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer



Agent of the Mutual Fund. Further, the Trustee at its sole discretion may also declare interim dividend. However, it must be distinctly understood that the actual declaration of dividend and the frequency thereof will inter-alia, depend on the availability of distributable profits as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. On payment of dividends, the NAV will stand reduced by the amount of dividend and dividend tax (if applicable) paid.

**NAME OF THE FUND MANAGERS:**

Jointly with Mr. Rahul Goswami and Ms. Chandni Gupta– for Debt portion  
 Mr. Vinay Sharma – for Equity portion  
 Mr. Ihab Dalwai – for ADR/GDR and other foreign securities  
 Since the scheme is a new Scheme, tenure of Fund Managers is not available.

**ADDITIONAL DISCLOSURES**

- i. Scheme Portfolio Holdings : Not Available
- ii. Scheme’s Portfolio Turnover Ratio: Not Available

Note: This Scheme is a new scheme and hence does not have the above mentioned details.

**NAME OF THE TRUSTEE COMPANY:**

ICICI Prudential Trust Limited

**PERFORMANCE OF THE SCHEME:** This Scheme is a new Scheme and does not have any performance track record.

**EXPENSES OF THE SCHEME:**

**Load Structure:**

**Entry load:** Not applicable. In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors’ assessment of various factors including the service rendered by the distributor.

**Exit load:** Being a listed Plan, no exit load provisions will be applicable. Investors shall note that the brokerage on sales of the units of the Scheme on stock exchange shall be borne by the investor.

**Recurring Expenses:**

First Rs. 100 crore	Next Rs. 300 crore	Next Rs. 300 crore	Over Rs. 700 crore
2.25%	2.00%	1.75%	1.50%

The above table excludes additional expenses that can be charged towards: i) 30 bps for gross new inflows from specified cities and ii) service tax on investment management and advisory fees. The same is more specifically elaborated below.

In addition to the limits specified above the following costs or expenses may be charged to the scheme, namely:

- (i) The AMC may charge service tax on investment and advisory fees to the scheme of the Fund in addition to the maximum limit of total expenses ratio as prescribed in Regulation 52 of the Regulations, whereas service tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit as per regulation 52 of the Regulations.
- (ii) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by the Securities and Exchange Board of India, from time to time are at least –
  - 30 per cent of the gross new inflows into the scheme, or;
  - 15 per cent of the average assets under management (year to date) of the scheme,
 whichever is higher;  
 Provided that if inflows from such cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis;  
 Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities;  
 Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

At least 2 basis points on daily net assets within the maximum limit of overall expense Ratio shall be annually set apart for investor education and awareness initiatives.

Further, the brokerage and transaction cost incurred for the purpose of execution of trade may be capitalized to the extent of 12 bps and 5 bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage and transaction cost, over and above the said 12 bps and 5 bps for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of Total Expense Ratio as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Service tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation 52 of the Regulations.

At least 10% of the TER is charged towards distribution expenses/ commission in the ICICI Prudential Multiple Yield Fund – Series 11 – Plan D 1361 Days. The TER of the ICICI Prudential Multiple Yield Fund – Series 11 – Plan D 1361 Days - Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission (at least 10%) which is charged in the ICICI Prudential Multiple Yield Fund – Series 11 – Plan D 1361 Days.

The aforesaid expenses are fungible within the overall maximum limit prescribed under SEBI (Mutual Funds) Regulations. This means that mutual fund can charge expenses within overall limits, without any internal cap on the expenses head.

Subject to Regulations, expenses over and above the prescribed limit shall be borne by the Asset Management Company.

**ACTUAL EXPENSES FOR THE PREVIOUS FINANCIAL YEAR:**

Not Applicable as the Scheme is new.

**WAIVER OF LOAD FOR DIRECT APPLICATIONS:**

Not applicable.

**TAX BENEFITS OF INVESTING IN THE MUTUAL FUND:**

Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz; [www.icicipruamc.com](http://www.icicipruamc.com) and also independently refer to the tax advisor.

**PUBLICATION OF DAILY NET ASSET VALUE (NAV):**

The AMC will calculate and disclose the first NAV within 5 business days from the date of allotment. Subsequently, the NAV will be calculated and disclosed at the close of every business day. NAV shall be published at least in two daily newspapers having circulation all over India. NAV shall be made available at all Customer Service Centers of the AMC. AMC shall update the NAVs on the website of Association of Mutual Funds in India - AMFI ([www.amfiindia.com](http://www.amfiindia.com)) and AMC website ([www.icicipruamc.com](http://www.icicipruamc.com)) by 9:00 p.m. on every Business Day.

**FOR INVESTOR GRIEVANCES PLEASE CONTACT:**

Name and Address of Registrar	Name, address, telephone number, fax number, e-mail address of ICICI Prudential Mutual Fund
Computer Age Management Services Private Limited (CAMS), New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H.Road) Chennai - 600 034	Mr. Yatin Suvarna - Investor Relations Officer, ICICI Prudential Asset Management Company Limited.  2 <sup>nd</sup> Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400 063 Tel No.: 022 26852000, Fax No.: 022-2686 8313 e-mail - <a href="mailto:enquiry@icicipruamc.com">enquiry@icicipruamc.com</a>

## UNITHOLDERS' INFORMATION:

The AMC shall disclose portfolio of various Plans on the website [www.icicipruamc.com](http://www.icicipruamc.com) along with ISIN on a monthly basis as on last day of each month, on or before tenth day of the succeeding month. The Fund shall before the expiry of one month from the close of each half year, that is as on March 31 and September 30, publish its scheme portfolios in one English daily newspaper having all India circulation and in a newspaper published in the language of the region where the Head Office of the AMC is situated in the prescribed format and update the same on AMC's website at [www.icicipruamc.com](http://www.icicipruamc.com) and AMFI's website [www.amfindia.com](http://www.amfindia.com).

In terms of Regulations 59 and SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC shall host the half-yearly financial statements of schemes of the Fund on its website and publish a notice in the newspapers regarding the availability of the same. It is hereby notified that wherever the investor(s) has/have provided his/their e-mail address in the application form in any of the folio belonging to the investor(s), the Fund/ Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication for transactions done by the investor(s).

## TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner:

- i. The existing investors may be charged Rs.100/- as transaction charge per subscription of Rs.10,000/- and above;
- ii. A first time investor may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/ subscriptions relating to new inflows.

Investors may note that distributors can opt to receive transaction charges based on 'type of the Scheme'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

However, upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by such distributor.

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested in the relevant scheme opted by the investor.

Transaction Charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/ subscription made through stock Exchange, irrespective of investment amount.

CAS/ Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.

## CONSOLIDATED ACCOUNT STATEMENT (CAS):

1. The Consolidated Account Statement (CAS) for each calendar month will be issued on or before tenth day of succeeding month to the investors who have provided valid Permanent Account Number (PAN), including systematic transactions. Further, CAS will be sent via email where any of the folios consolidated has an email id or to the email id of the first unit holder as per KYC records.

2. For folios not included in the Consolidated Account Statement (CAS), the AMC shall henceforth issue account statement to the investors on a monthly basis, pursuant to any financial transaction in such folios on or before tenth day of succeeding month.

In case of a New Fund Offer Period (NFO), the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email and/or SMS's to the investor's registered address and/or mobile number not later than five business days from the date of closure of the NFO.

3. In case of a specific request received from the unit holder, the AMC shall provide the account statement to the investors within 5 business days from the receipt of such request.

4. In the case of joint holding in a folio, the first named Unit holder shall receive the CAS/account statement. The holding pattern has to be same in all folios across Mutual Funds for CAS.

Further, in case if no transaction has taken place in a folio during the period of six months ended September 30 and March 31, the CAS detailing the holdings across all Schemes of all mutual funds, shall be emailed at the registered email address of the unitholders on half yearly basis, on or before tenth day of succeeding month, unless a specific request is made to receive the same in physical form.

Each CAS issued to the investors shall also provide the total purchase value / cost of investment in each scheme.

Further, CAS issued for the half-year (September/ March) shall also provide:

- a. The amount of actual commission paid by AMCs/Mutual Funds (MFs) to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each MF scheme. The term 'commission' here refers to all direct monetary payments and other payments made in the form of gifts / rewards, trips, event sponsorships etc. by AMCs/MFs to distributors. Further, a mention may be made in such CAS indicating that the commission disclosed is gross commission and does not exclude costs incurred by distributors such as service tax (wherever applicable, as per existing rates), operating expenses, etc.
- b. The scheme's average Total Expense Ratio (in percentage terms) for the half-year period for each scheme's applicable plan, where the concerned investor has actually invested in.

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

In case of the units are held in dematerialized (demat) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically.

The AMC reserve the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

## CAS for investors having Demat account:

- Investors having MF investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository.
- Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. The CAS shall be generated on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or in any of his mutual fund folios, depositories shall send the CAS within ten days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on half yearly basis.
- In case an investor has multiple accounts across two depositories, the depository with whom the account has been opened earlier will be the default depository.

The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Mutual Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations.

However, the AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

## MAILING OF SCHEME WISE ANNUAL REPORT OR ABRIDGED SUMMARY:

Pursuant to Securities and Exchange Board of India (Mutual Funds) (Amendments) Regulations, 2011 dated August 30, 2011 read with SEBI circular No. Cir/ IMD/ DF/16/ 2011 dated September 8, 2011, the unit holders are requested to note that scheme wise annual report and/or abridged summary of annual reports of the Schemes of the Fund shall be sent to the unit holders only by email at their email address registered with the Fund.

Physical copies of the annual report or abridged summary of annual reports will be sent to those Unit holders whose email address is not available with the Fund and/or who have specifically requested or opted for the same.

The unit holders are requested to update/ provide their email address to the Fund for updating the database.

Physical copy of the scheme wise annual report or abridged summary will be available to the unit holders at the registered office of the Fund/AMC. A separate link to scheme annual report or abridged summary is available on the website of the Fund.

As per regulation 56(3) of the Regulations, copy of Schemewise Annual Report shall be also made available to unitholder on payment of nominal fees. Further as per Securities and Exchange Board of India (Mutual Funds) (Third Amendment) Regulations, 2008 Notification dated September 29, 2008 & SEBI Circular No. SEBI/IMD/CIR No. 10/141712/ 08 October 20, 2008, the schemewise Annual Report of a mutual fund or an

abridged summary shall be mailed to all unitholders as soon as may be possible but not later than four months from the date of closure of the relevant accounts year.

**CASH INVESTMENTS IN THE SCHEME:**

Pursuant to SEBI circulars dated September 13, 2012 and May 22, 2014, it is permitted to accept cash transactions to the extent of Rs. 50,000/- subject to compliance with Prevention of Money Laundering Act, 2002 and Rules framed there under and the SEBI Circular(s) on Anti Money Laundering (AML) and other applicable AML rules, regulations and guidelines. Provided that the limit shall be applicable per investor for investments done in a financial year across all schemes of the Mutual Fund, subject to sufficient systems and procedures in place for such acceptance. However any form of repayment either by way of redemption, dividend, etc. with respect to such cash investment shall be paid only through banking channel.

The Asset Management Company is in process of implementing adequate systems and controls to accept Cash Investment in the Scheme. Information in this regard will be provided to Investors as and when the facility is made available.

Note: The Scheme under this document was approved by the Directors of ICICI Prudential Trust Limited vide resolution passed by circulation dated December 01, 2015.

**For and on behalf of the Board of Directors of  
ICICI Prudential Asset Management Company Limited**

**Sd/-  
Nimesh Shah  
Managing Director**

Place : Mumbai  
Date : October 06, 2016





**6 | TRIGGER ON MATURITY OF THE SCHEME**

Investor will have the option to set trigger at the time of application. On maturity, all the units can be switched into one of the pre-selected open-ended schemes of ICICI Prudential Mutual Fund. The trigger facility is available only for the investor who holds units under physical mode. *(Please read the instruction no. XVI)*

<b>SOURCE SCHEME:</b> ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days	<b>TARGET SCHEME:</b> <i>(Please mention any of the open-ended schemes of ICICI Prudential Mutual Fund as target scheme)</i> ICICI Prudential _____ <i>(If an investor fails to specify the option, he will be allotted units under the default option/sub-option of the Target scheme.)</i>
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I/We have read and understood the terms and conditions applicable to the trigger facility and am/are fully aware of the risk associated with such event.  
I/We have read and understood the Scheme Information Document (SID)/ Statement of Additional Information (SAI) and Key Information Memorandum (KIM) of the Target Scheme and have understood the investment objectives, investment pattern and risk factors applicable to the Target Scheme. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in opting the Trigger facility.

**SIGNATURE(S) (If the investor does not sign then the units will, by default, be redeemed and proceeds will be paid to the Unit holder.)**

SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT

**7 | MODE OF HOLDING**       Single       Joint       Anyone or Survivor (Default)

**8 | TAX STATUS** [Please tick (✓)]

<input type="checkbox"/> Resident Individual	<input type="checkbox"/> NRI	<input type="checkbox"/> Partnership FIRM	<input type="checkbox"/> Government Body	<input type="checkbox"/> Foreign Portfolio Investor	<input type="checkbox"/> QFI
<input type="checkbox"/> On behalf of Minor	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Company	<input type="checkbox"/> AOP/BOI	<input type="checkbox"/> Defence Establishment	<input type="checkbox"/> NON Profit Organization/Charities
<input type="checkbox"/> HUF	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Private Limited Company	<input type="checkbox"/> FII	<input type="checkbox"/> Public limited company	<input type="checkbox"/> Bank / FI
<input type="checkbox"/> Trust/Society/NGO	<input type="checkbox"/> Limited Partnership (LLP)	<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Others (Please specify) _____		

**9 | DEMAT ACCOUNT DETAILS** (Optional - Please refer Instruction No. VIII)

<b>NSDL: Depository Participant (DP) ID</b> (NSDL only)	<b>Beneficiary Account Number</b> (NSDL only)	<b>CDSL: Depository Participant (DP) ID</b> (CDSL only)
_____	_____	_____

**10 | CORRESPONDENCE DETAILS OF SOLE/FIRST APPLICANT:**

<b>Correspondence Address (Please provide full address)*</b>		<b>Overseas Address (Mandatory for NRI / FII Applicants)</b>	
HOUSE / FLAT NO. _____		HOUSE / FLAT NO. _____	
STREET ADDRESS _____		STREET ADDRESS _____	
CITY / TOWN _____	STATE _____	CITY / TOWN _____	STATE _____
COUNTRY _____	PIN CODE _____	COUNTRY _____	PIN CODE _____
Tel. _____	Office _____	Residence _____	Mobile _____
Email <sup>£</sup> _____			

Please  if you wish to receive Account statement / Annual Report/ Other statutory information via Post instead of Email

Please  any of the frequencies to receive **Account Statement through e-mail** <sup>£</sup>:  Daily  Weekly  Monthly  Quarterly  Half Yearly  Annually

\* Mandatory information – If left blank the application is liable to be rejected.  
\*\* Mandatory in case the Sole/First applicant is minor.  
<sup>£</sup> For KYC requirements, please refer to the instruction Nos. II b(5) & VII  
<sup>#</sup> Name of Guardian/Contact Person is Mandatory in case of Minor/Non-Individual Investor. For documents to be submitted on behalf of minor folio refer instruction II-b(3)  
<sup>£</sup> For email communication please refer to instruction no. VI

**11 | FATCA AND CRS DETAILS FOR INDIVIDUALS** (Including Sole Proprietor) (Mandatory)

**Non-Individual investors should mandatorily fill separate FATCA Form (Annexure II)**

The below information is required for all applicants/guardian

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
<b>First Applicant / Guardian</b>			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____
<b>Second Applicant</b>			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____
<b>Third Applicant</b>			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India?  Yes  No [Please tick (✓)]

If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident / Green Card Holder / Tax Resident in the respective countries.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	If TIN is not available please tick (✓) the reason A, B or C (as defined below)
<b>First Applicant / Guardian</b>				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
<b>Second Applicant</b>				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
<b>Third Applicant</b>				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>

- Reason A ⇒ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.
- Reason B ⇒ No TIN required (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)
- Reason C ⇒ Others, please state the reason thereof: \_\_\_\_\_

<b>Address Type of Sole/1st Holder:</b> <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	<b>Address Type of 2nd Holder:</b> <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	<b>Address Type of 3rd Holder:</b> <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business
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Annexure I and Annexure II are available on the website of AMC i.e. www.icicipruamc.com or at the Investor Service Centres (ISCs) of ICICI Prudential Mutual Fund.

**12 | KYC DETAILS (Mandatory)**

**Occupation** [Please tick (✓)]

<b>Sole/First Applicant</b>	<input type="radio"/> Private Sector Service	<input type="radio"/> Public Sector Service	<input type="radio"/> Government Service	<input type="radio"/> Business	<input type="radio"/> Professional	<input type="radio"/> Agriculturist	<input type="radio"/> Retired
	<input type="radio"/> Housewife	<input type="radio"/> Student	<input type="radio"/> Forex Dealer	<input type="radio"/> Others (Please specify) _____			
<b>Second Applicant</b>	<input type="radio"/> Private Sector Service	<input type="radio"/> Public Sector Service	<input type="radio"/> Government Service	<input type="radio"/> Business	<input type="radio"/> Professional	<input type="radio"/> Agriculturist	<input type="radio"/> Retired
	<input type="radio"/> Housewife	<input type="radio"/> Student	<input type="radio"/> Forex Dealer	<input type="radio"/> Others (Please specify) _____			
<b>Third Applicant</b>	<input type="radio"/> Private Sector Service	<input type="radio"/> Public Sector Service	<input type="radio"/> Government Service	<input type="radio"/> Business	<input type="radio"/> Professional	<input type="radio"/> Agriculturist	<input type="radio"/> Retired
	<input type="radio"/> Housewife	<input type="radio"/> Student	<input type="radio"/> Forex Dealer	<input type="radio"/> Others (Please specify) _____			

<b>Gross Annual Income</b> [Please tick (✓)]									
<b>Sole/First Applicant</b>	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore Net worth (Mandatory for Non-Individuals) ₹ _____ as on <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
<b>Second Applicant</b>	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore <b>OR</b> Net worth ₹ _____								
<b>Third Applicant</b>	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore <b>OR</b> Net worth ₹ _____								
<b>Others</b> [Please tick (✓)]									
<b>Sole/First Applicant</b>	<b>For Individuals</b> [Please tick (✓)]: <input type="radio"/> I am Politically Exposed Person (PEP) ^ <input type="radio"/> I am Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable <b>For Non-Individuals</b> [Please tick (✓)] (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. XVII): (i) Foreign Exchange / Money Changer Services – <input type="radio"/> YES <input type="radio"/> NO; (ii) Gaming /Gambling /Lottery/Casino Services – <input type="radio"/> YES <input type="radio"/> NO; (iii) Money Lending / Pawning – <input type="radio"/> YES <input type="radio"/> NO								
<b>Second Applicant</b>	<input type="radio"/> Politically Exposed Person (PEP) ^ <input type="radio"/> Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable								
<b>Third Applicant</b>	<input type="radio"/> Politically Exposed Person (PEP) ^ <input type="radio"/> Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable								

**13 NOMINATION DETAILS** (Refer instruction IV)

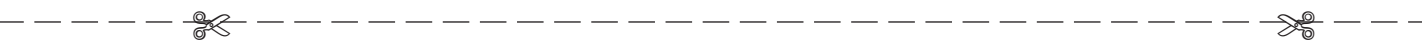
I/We hereby nominate the undermentioned nominee(s) to receive the amount to my/our credit in event of my/our death as follows:

Name and address of Nominee(s) <input type="checkbox"/> (Please tick if Nominee's address is same as 1st/Sole Applicant's address)	Applicant's Relationship with the Nominee	Date of Birth	Name and address of Guardian	Signature of Nominee/Guardian, if nominee is a minor	Proportion (%) in which the units will be shared by each Nominee (Should aggregate to 100%)
		[To be furnished in case the Nominee is a minor (Mandatory)]			
Nominee 1					
Nominee 2					
Nominee 3					

**INVESTOR(S) DECLARATION & SIGNATURE(S)**

The Trustee, **ICICI Prudential Mutual Fund**, I/We have read, understood and hereby agree to abide by the Scheme Information Document/Key Information Memorandum of the Scheme, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962. I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. **If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).** Information/documents given in/with this application form is true and complete in all respects and I/we agree to provide any additional information that may be required by the AMC/the Fund/ Registrar and Transfer Agent (RTA). I/We agree to notify the AMC/the Fund immediately upon change in any information furnished by me.

<b>SIGNATURE OF SOLE / FIRST APPLICANT</b>	<b>SIGNATURE OF SECOND APPLICANT</b>	<b>SIGNATURE OF THIRD APPLICANT</b>



<b>ACKNOWLEDGEMENT</b>		<b>ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days</b> <b>ACKNOWLEDGEMENT SLIP</b> (Please Retain this Slip) To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.	Application No. _____			
	Investor's Name: _____		<table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td>EXISTING FOLIO NO.</td> <td> </td> </tr> </table> / <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td> </td> <td> </td> </tr> </table>	EXISTING FOLIO NO.		
EXISTING FOLIO NO.						
<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;"> <b>PLAN</b> [Please tick (✓)]: <input type="checkbox"/> ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days         </td> <td style="width: 50%;"> <b>OPTION</b> [Please tick (✓)]: <input type="checkbox"/> Cumulative option <input type="checkbox"/> Dividend Payout option         </td> </tr> </table>		<b>PLAN</b> [Please tick (✓)]: <input type="checkbox"/> ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days	<b>OPTION</b> [Please tick (✓)]: <input type="checkbox"/> Cumulative option <input type="checkbox"/> Dividend Payout option	Amt. Rs. _____ Cheque/DD No. _____ dtd: _____ Bank & Branch _____		
<b>PLAN</b> [Please tick (✓)]: <input type="checkbox"/> ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days	<b>OPTION</b> [Please tick (✓)]: <input type="checkbox"/> Cumulative option <input type="checkbox"/> Dividend Payout option					

**FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US**  
**ICICI Prudential Asset Management Company Limited**  
 Central Service Office, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. India  
**TOLL FREE NUMBER** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL** enquiry@icicipruamc.com **WEBSITE** www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Mutual Fund Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

## INSTRUCTIONS TO INVESTORS

### I. GENERAL INSTRUCTIONS

- a) The application form is for Resident Investors/NRIs/FIIs and should be completed in English in **BLOCK** Letters.
- b) The application form number, the scheme name and the name of the applicant should be mentioned on the reverse of the instrument that accompanies the application.
- c) The Application completed in all respects along with the cheque / demand draft must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable are liable to be rejected and the money paid, if any, will be refunded without interest.
- d) No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.
- e) In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.
- f) Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.
- g) As required under applicable regulations, additional details like status, occupation details, gross annual income, net worth and other details as mentioned in the relevant sections of the application form are mandatory for all applicants as applicable, including joint holders. Details of net worth are mandatory for Non Individual applicants and optional for Individual applicants in lieu of gross annual income. While providing details of net worth, the same should be of a date which is within one year of the application.
- h) Applications are liable to be rejected without any intimation to the applicants, if requirement under "KYC details" are not complied with/filled by all the applicants, KYC acknowledgement is not enclosed or any of the additional details are not mentioned for any of the applicant.

### II. UNITHOLDERS INFORMATION

- a) **Existing Unit-holders:** If you have an existing folio with KYC validation, please mention the Folio Number in Step 1 and proceed to Step 3 in the application form. Please note that the applicable details and mode of holding will be as per the existing folio. Partial Demat of units is not allowed
- b) **New Applicant**

1. Name and address must be given in full (P.O. Box Address is not sufficient). In the case of NRI/PIO/FII investors, an overseas address must also be provided.
2. Name of the guardian alongwith relationship must be mentioned, if the investments are being made on behalf of a minor. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Joint holding is not allowed, if the first applicant is minor.

In case of investment in the name of a minor, the registered guardian in the bank account of the minor should be the same guardian as mentioned in the folio/application (Parent/ Court Appointed). This will ensure seamless payment of redemption/dividend amount to the minor's account.

In case of a minor, it is mandatory to submit photocopy of any one of the following towards proof of date of birth at the time of initial investment :

- a) Birth certificate of minor, or
- b) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc, containing the minor's date of birth, or
- c) Passport of minor
- d) Any other suitable proof evidencing the date of birth of the minor.

In case of natural guardian, a document evidencing the relationship has to be submitted, if the same is not available as part of the documents submitted as proof of date of birth of the minor applicant.

In case of court appointed legal guardian- a notarised photo copy of the court order should be submitted alongwith the application.

#### 3. Minor Attaining Majority - Status Change:

On minor attaining majority, the unit holder shall submit a letter along with the documents as mentioned below:

- a) A signed request form to change account status from minor to major duly filled containing details like name of the major, folio no. etc.
- b) New Bank Mandate.
- c) Signature of major attested by manager of schedule bank/ bank certificate/ letter.
- d) KYC and PAN of the major.

Guardian name and details will be deleted on change of Tax status from Minor to Major. The standing instruction including SIP, STP and SWP will be registered only till the date of minor attaining majority, though the instructions may be for a period beyond that date.

4. In case of an application under Power of Attorney (PoA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

**Power of Attorney (POA):** In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under his/her folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document, to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, PoA holder's signature is available in the PoA or proof of identity along with signature is produced along with the PoA.

5. **PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/-05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. However, PAN is not required for investors who are exempted from PAN requirement, please refer to KYC Form for exemption of PAN requirement.

6. **Exemption from requirement of Permanent Account Number (PAN) for micro investments in the schemes of the Fund:** Investment in mutual fund schemes [including investments through Systematic Investment Plan (SIP)] upto Rs. 50,000/- per investor per year per mutual Fund, shall be exempted from the requirement of PAN.

- The exemption shall be available under all the schemes of the Fund for investments upto Rs. 50,000/- (aggregate under all the schemes of the Fund) in a rolling 12 month period or financial year i.e. April to March by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible.
- In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future

installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect, however, redemptions shall be allowed.

- In case of investments held jointly, first holder must not possess a PAN.
  - Eligible Investors may invest in the schemes of the Fund (through SIP or lumpsum/ additional purchase) without providing PAN subject to the threshold amount as specified above.
  - Eligible Investors should attach a copy of Know Your Client (KYC) acknowledgement letter quoting PAN Exempt KYC reference no. (PEKRN) obtained from KYC Registration Agency alongwith the investment application form.
  - Eligible Investors must have only one PEKRN.
  - In case KYC status is failed for a particular PEKRN further SIP transaction/investments will not be allowed in such folios having such PEKRN.
7. Applicants should indicate their status by ticking the appropriate check-box. Applications without a tick in the "Status" box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.
  8. Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividends / redemptions / refund warrants and any other correspondence sent from time to time.
  9. Name of a contact person should be mentioned in case of the investment by a Company/ Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.
  10. In case of fresh/additional purchases, if the name of the Scheme on the application form/ transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque/Demand Draft. The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

### III. BANK DETAILS

The first Unit-holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular IIAMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. An investor at the time of purchase of units must provide the details of the pay-out bank account (i.e. account into which redemption / dividend proceeds are to be paid) in Section 3 in the Application Form. Please quote 9 Digit MICR Code No. and 11 Digit IFSC code of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book). The AMC reserves the right to make dividend/redemption payments through ECS/NFT/RTGS where details are available.

Investors/Unit Holders are requested to note that, any one of the following documents shall be submitted, if cheque provided alongwith fresh subscription/new folio creation does not belong to bank mandate in Section 3 in the Application Form.

1. Original cancelled cheque having the First Holder Name printed on the cheque.
2. Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application.
3. Photocopy of the bank statement duly attested by the bank manager with designation, employee number and bank seal.
4. Photocopy of the bank pass book duly attested by the bank manager with designation, employee number and bank seal.
5. Photocopy of the bank statement/passbook/cheque duly attested by the AMC branch officials after verification of original bank statement/passbook shown by the investor or their representative.
6. Confirmation by the bank manager with seal, designation and employee number on the bank's letter head confirming the investor details and bank mandate information.

Please note for unit holder opting to invest in demat, please ensure that the bank account linked with the demat account is mentioned in the application form.

Maturity payment or dividend payment would be made as per the bank account details available in BENPOS file.

**Multiple Bank Account Registration:** The AMC/ Mutual Fund provides a facility to the investors to register multiple bank accounts (currently upto 5 for Individuals and 10 for Non - Individuals) for receiving redemption/dividend proceeds etc. by providing necessary documents. Investors must specify any one account as the "Default Bank Account". The investor, may however, specify any other registered bank account for credit of redemption proceeds at the time of requesting for the redemption. Investors holding units in non-demat form are requested to avail the facility of registering multiple bank accounts by filling in the 'Multiple Bank Accounts Registration Form' available at our Investor Service Centres (ISCs) or on our website [www.icicipruamc.com](http://www.icicipruamc.com).

**Change of Bank Mandate:** With effect from October 25, 2011, the request for change of bank (COB) shall be submitted along with the original cancelled cheque of the new bank with the investor name mentioned on the cheque or copy of the bank statement/pass book duly attested by the new Bank, evidencing the name and bank account details of the investor.

### IV. NOMINATION

**You may nominate persons to receive the Units/amounts standing to your credit payable in the event of death of the Unit Holder(s) in respect of investment under a folio. Investors are requested to note that with effect from April 22, 2013, if the "Nomination" details in the application form are not provided then by default it shall be treated as the consent provided by the Investor to not register any nomination in the folio and the transaction shall be processed accordingly.**

- a) Filling the nomination details with full address is mandatory for individuals applying for / holding units on their own behalf singly and optional for joint holding. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. All joint holders will sign the nomination form. Nomination is not allowed in case the first applicant is a minor. Nomination form cannot be signed by Power of Attorney (PoA) holders.
- b) Nomination will be registered where nomination is made by a sole proprietorship as the proprietor is providing his/ her personal pan card for KYC and all the details are of the individual itself.
- c) All payments and settlements made to such nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.
- d) A minor can be nominated and in that event, the name, relationship and address of the guardian of the minor nominee shall be provided by the unit holder. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.



- e) The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- f) Nomination in respect of the units stands withdrawn upon the transfer of units.
- g) Every new nomination for a folio/account will overwrite the existing nomination.
- h) Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
- i) The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination and the request has to be signed by all the holders.
- j) On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- k) Investors who want to make multiple nominations (Maximum 3) need to fill the separate Multiple Nomination Form available on [www.icicipruamc.com](http://www.icicipruamc.com) and submit it to the AMC.
- l) Investors are requested to note that, if the "Nomination" details in the application form are not provided then by default it shall be treated as the consent provided by the Investor to not register any nomination in the folio and the transaction shall be processed accordingly.
- m) Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in whole numbers and without decimals in favour of each of the nominees should be indicated against the name of the nominees. Such allocation/ share should total to 100 percent. In the event of the Unit holder(s) fail to indicate the percentage of allocation/share for each of the nominees, the Fund/ AMC, by invoking default option shall settle the claim equally amongst all the nominees.
- n) In case of multiple nominees, on the death of one or more nominee, the transmission of units shall be made in favour of the remaining nominee(s).

**V. DIRECT CREDIT OF DIVIDEND/REDEMPTION:** ICICI Prudential AMC had entered into an arrangement with certain banks; such as Citibank N.A., HDFC Bank, AXIS Bank, HSBC and ICICI Bank, for direct credit of redemption and dividend proceeds if the investors have a bank mandate in any of the specified banks. However, the Fund reserves the right to issue a payment instrument in place of this electronic payment facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time / withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, as the case may be.

**VI. E-MAIL COMMUNICATION:** Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has provided an email address, the same will be registered in our records for eDocs and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode/email. These documents shall be sent physically in case the Unit holder opts/requests for the same. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email. The Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor. The AMC / Trustee reserve the right to send any communication in physical mode.

**VII. KNOW YOUR CUSTOMER (KYC) NORMS:** With effect from 1st January, 2011, KYC (Know Your Customer) norms are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Further, to bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. With effect from 1st January 2012, all the new investors are therefore requested to use the Common KYC application form to apply for KYC and mandatorily undergo In Person Verification (IPV) requirements with SEBI registered intermediaries. For Common KYC Application Form please visit our website [www.icicipruamc.com](http://www.icicipruamc.com).

**VIII. DEMAT/NON-DEMAT MODE:** Investors have an option to hold the Units in dematerialized form. Please tick the relevant option of Yes/No for opting/not opting units in demat form. If no option is exercised, "No" will be the default option. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly dividend options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the SID and will be credited to investor's Demat account on weekly basis upon realization of funds. For e.g. Units will be credited to investors Demat account every Monday for realization status received in last week from Monday to Friday.

The investors shall note that for holding the units in demat form, the provisions laid in the Scheme Information Document (SID) of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s).

Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

#### IX. TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 the transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner, in addition to trail fees charged by the AMC and upfront commission, if any:

- The existing investors of the mutual fund industry may be charged Rs.100/- as transaction charge per subscription of Rs.10,000/- and above;
- A first time investor in any mutual fund may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/ subscriptions relating to new inflows.

However, the option to charge "transaction charges" is at the discretion of the distributors.

In case of investment through Systematic Investment Plan (SIP), transaction charges shall be deducted only if the total commitment through SIP amounts to Rs. 10,000/- and above. The transaction charges in such cases shall be deducted in 4 equal installments.

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount

shall be invested subject to deduction of service tax. Unit holder's statement of account will reflect subscription amount, transaction charges and net investments.

Transaction Charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/Subscription made through stock Exchange, irrespective of investment amount.

**X. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIIN) :** Investors procuring advisory services from non individual distributors are requested to note that EUIIN would assist in tackling the problem of misselling even if the employee/relationship manager/sales person leave the employment of the distributor.

Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIIN of the Sales Person (if any) in the EUIIN space.

Investors are requested to note that EUIIN is applicable for transactions such as Purchases, Switches, Registrations of SIP / STP / Trigger / Dividend Transfer Plan and EUIIN is not applicable for transactions such as Installments under SIP/ STP / SWP / EBT Triggers, Dividend Reinvestments, Bonus Units, Redemption, SWP Registration, Zero Balance Folio creation and installments under Dividend Transfer Plans.

Investors are requested to note that EUIIN is largely applicable to sales persons of non individual ARN holders (whether acting in the capacity of the main distributor or sub broker). Further, EUIIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular CIR/ ARN-14/12-13 dated July 13, 2012.

**XI. SIGNATURES :** The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Applications on behalf of minors should be signed by their Guardian. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.

If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder.

In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.

In case of application under POA or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for/authorize the POA holder/ authorized signatory to make application/ invest moneys on behalf of the investor.

**Signature mismatch cases:** While processing the redemption / switch out request in case the AMC/ Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

#### XII. INVESTMENT DETAILS

**Minimum Application Amount:** Rs. 5,000 and in multiples of Rs.10 thereafter. Minimum application amount is applicable for switch-ins made during the New Fund Offer period as well.

**Introduction of Direct Plan:** The AMC has introduced a separate plan for direct investments (i.e. investments not routed through an AMFI Registration Number (ARN) Holder ("Distributor") (hereinafter referred to as "Direct Plan") with effect from January 1, 2013 ("Effective Date").

Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.

Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/Plan name in the application form as "Scheme Name – Direct Plan" for e.g. "ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days - Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.

Please note, where application is received for ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.

#### PLANS & OPTIONS:

Following Plans/Options will be available under ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days:

Plans	ICICI Prudential Multiple Yield Fund Series11 – Plan D - 1361 Days and ICICI Prudential Multiple Yield Plan Series 11 – Plan D - 1361 Days - Direct Plan
Options	Cumulative Option and Dividend Option with only Dividend Payout facility.
Default Option	Cumulative Option

Default Plan would be as follows in below mentioned scenarios:

Scenario	ARN Code mentioned/not mentioned by the investor	Plan mentioned by the investor	Default Plan
1	Not mentioned	Not mentioned	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days - Direct Plan
2	Not mentioned	ICICI Prudential Multiple Yield Fund - Series 11 – Plan D - 1361 Days - Direct Plan	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days - Direct Plan
3	Not mentioned	ICICI Prudential Multiple Yield Fund - Series 11 – Plan D - 1361 Days	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days - Direct Plan
4	Mentioned	ICICI Prudential Multiple Yield Fund - Series 11 – Plan D - 1361 Days - Direct Plan	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days - Direct Plan
5	Direct	Not Mentioned	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days - Direct Plan
6	Direct	ICICI Prudential Multiple Yield Fund - Series 11 – Plan D - 1361 Days	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days - Direct Plan
7	Mentioned	ICICI Prudential Multiple Yield Fund - Series 11 – Plan D - 1361 Days	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days
8	Mentioned	Not Mentioned	ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan from the date of application without any exit load.

The Plans and Options stated above will have common portfolio. ICICI Prudential Multiple Yield Fund – Series 11 - Plan D 1361 Days - Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund.

Dividends, if declared, will be paid (subject to deduction of tax at source, if any) to those Unit holders whose names appear in the Register of Unit holders on the record date. In case of Units held in dematerialized mode, the Depositories (NSDL/CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund. Further, the Trustee at its sole discretion may also declare interim dividend. However, it must be distinctly understood that the actual declaration of dividend and the frequency thereof will inter-alia, depend on the availability of distributable profits as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. On payment of dividends, the NAV will stand reduced by the amount of dividend and dividend tax (if applicable) paid.

### XIII. MODE OF PAYMENT

a) The cheque should be drawn in favour of "ICICI Prudential Multiple Yield Fund - Series 11 - Plan D - 1361 Days" and crossed "Account Payee Only". The cheque/demand draft should be payable at the centre where the application is lodged. The cheque/demand draft should be drawn on any bank which is situated at and is a member/sub-member of the Bankers' Clearing House. Cheques/demand drafts drawn on a bank not participating in the Clearing House will not be accepted.

b) Separate Cheque/Draft is required for each plan/option invested.

c) **Outstation Cheques/Demand Drafts will not be accepted.**

**MICR, Transfer cheques and Real Time Gross Settlement (RTGS) requests will be accepted till the end of business hours up to November 03, 2016. Switch-in requests from equity schemes and non-equity schemes will be accepted up to November 03, 2016, till the cutoff time applicable for switches.**

**Switch-in request from ICICI Prudential US Bluechip Equity Fund and ICICI Prudential Global Stable Equity Fund will not be accepted.**

d) **Payments by Stockinvest, Money Order, Cash, Postal Order, Outstation Cheques, Post-dated Cheques and Demand Drafts will not be accepted.**

The Trustee shall have absolute discretion to accept/reject any application for purchase of Units, if in the opinion of the Trustee, increasing the size of Scheme's Unit capital is not in the general interest of the Unitholders, or the Trustee for any other reason believes it would be in the best interest of the Schemes or its Unitholders to accept/reject such an application.

e) An investor can also subscribe to the New Fund Offer (NFO) through ASBA facility.

f) **NRI/FII/PIO Investors**

1. **Repatriation basis:** Payments by NRIs/ FIIs/Persons of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located.

In case of Indian Rupee drafts purchased through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.

2. **Non Repatriation basis:** NRIs or Persons of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

3. **FIRC certificate:** In case of investments by Non Resident Indians (NRIs), if FIRC certificate was not submitted, CAMS/AMC will not provide FIRC outward letters to banks.

4. **Payment of Maturity Proceeds:** NRIs shall provide details of purchase including contract notes on or before the maturity date. In the absence of such details on or before the maturity date, TDS towards capital gain would be done at higher applicable rate. For this purpose, the difference between allotment NAV and NAV applicable for Maturity payment is considered as capital gains.

The plan under the scheme shall be fully redeemed at the end of the maturity period of the respective Plan unless rolled over as per SEBI guidelines.

**XIV. THIRD PARTY PAYMENTS:** Investment/subscription made through Third Party Cheque(s) will not be accepted. Third party cheque(s) for this purpose are defined as:

(i) Investment made through instruments issued from an account other than that of the beneficiary investor,

(ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

1) Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.

2) Payment by Employer on behalf of employee under Systematic Investment Plans or lump sum/one-time subscription through Payroll deductions.

3) Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

(1) Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.

(2) Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.

(3) Verifying the source of funds to ensure that funds have come from the drawer's account only.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:

(1) If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such pre-funded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.

(2) If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank

stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form available in [www.icicipruamc.com](http://www.icicipruamc.com) or ICICI Prudential Mutual Fund branch offices.

**XV. PLEDGE/LIEN:** In case investor creates pledge / lien on their units in favour of any financial institution or lender, the details of the same shall be provided to ICICI Prudential AMC or CAMS within two days of creations of such pledge/lien. The redemption proceeds/dividend payment will be on hold till proper details are made available.

### XVI. TRIGGER ON MATURITY OF THE SCHEME:

1. Trigger facility will switch all the units in the target scheme within the respective Folio Number of the investor.

2. Any of the open ended schemes of ICICI Prudential Mutual Fund can be a target scheme.

3. For Trigger facility to happen the minimum purchase/redemption criteria should be met else the trigger will not be effected.

4. Trigger facility on each scheme will require a separate/independent Trigger request form to be filled. If an investor holds similar schemes in two folios, they will have to register separately for it mentioning the folio number.

5. Target scheme where units will be switched, if option/sub-options are not selected, it will be switched to the default option (available under the Target Schemes).

6. Switch will be implemented on the day the Trigger condition is satisfied. The Trigger is a one time operation and will cease once it is exercised.

7. Once switch is done exercising Trigger option, the same will not be reversed whatsoever and it will be final and binding.

8. If Trigger facility is not activated and/or implemented due to reasons which are beyond the control of ICICI Prudential AMC Ltd. (the AMC), the AMC will not be responsible. Trigger facility is only a facility extended by the AMC for the convenience of the unit holders and does not form part of any scheme/fund objectives.

9. AMC reserves the right to amend/terminate this facility at any time, keeping in view business/operational exigencies and the same shall be in the best interest of the investors.

10. The investor is being provided a facility to give the switch request from the close ended funds, much in advance of the maturity of the fund. At a future date changes can arise in the target scheme which can prevent the AMC from processing the switch request. In such cases the switch request would be considered NULL & VOID and the investor would have to re-submit the Trigger request. Few examples of such changes are

a. Change in fundamental attribute of the target Scheme.

b. Merger of the target scheme into any other scheme.

c. Any change in the target scheme specifications which restricts the AMC from accepting the switch request. For example : Maximum and Minimum amount size.

d. When Target Scheme has stopped accepting fresh subscriptions in the Scheme.

11. In case folio consolidation is requested by the investor, the existing Trigger request would be considered Null & Void.

12. The investor would be allowed to re-register a fresh Trigger request as per existing process.

13. In case of change of broker or change of investment status as "direct" after closing of New Fund Offer, the new broker code would be updated for existing investments and for existing Trigger request.

14. Conversion of physical unit to demat mode will nullify any existing / future Trigger request and the request cannot be re-submitted.

15. Trigger facility will be effected only when the terms and conditions of the said facility is fulfilled.

16. In case of Trigger facility, the switch into the open ended scheme will be subject to applicable cut off timings provisions.

**XVII. Ultimate Beneficial Owner(s) [UBO(s)]:** Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of UBO(s). In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please contact the nearest Investor Service Centre (ISC) of ICICI Prudential Mutual Fund or log on to our website [www.icicipruamc.com](http://www.icicipruamc.com) for the Declaration Form.

**XVIII. FATCA and CRS Details:** Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant tax authority. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/ Number. If there is any change in the information provided, promptly intimate the same to us within 30 days.





## UBO - GENERAL INFORMATION AND INSTRUCTIONS

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No.CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

### 1. Ultimate Beneficiary Owner [UBO]:

#### A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
- more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
  - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

#### C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client.

#### D. KYC requirements

Beneficial Owner(s) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

#### E. UBO Codes:

UBO Code	Description
UBO-1	Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company
UBO-2	Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership
UBO-3	Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals
UBO-4	Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]
UBO-5	Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]
UBO-6	The settlor(s) of the trust
UBO-7	Trustee(s) of the Trust
UBO-8	The Protector(s) of the Trust [if applicable].
UBO-9	The beneficiaries with 15% or more interest in the trust if they are natural person(s)
UBO-10	Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

For any queries/clarifications, please contact the nearest Customer/Investor Service Centres of the AMC. The list of our authorised centres is available in the section 'Contact Us' on our website [www.icicipruamc.com](http://www.icicipruamc.com).

**ICICI Prudential Mutual Fund Official Points of Acceptance**

<p>• <b>Ahmedabad:</b> 307, 3rd Floor, Zodiac Plaza, Beside Nabard Vihar, Near St. Xavier's College Corner, H.L. Collage Road, Off C. G. Road, Ahmedabad 380009, Gujarat</p> <p>• <b>Allahabad:</b> Shop No. FF-1, FF-2, Vashishtha Vinayak Tower, 38/1, Tashk. ant Marg, Civil Lines, Allahabad 211001</p> <p>• <b>Amritsar:</b> Eminent Mall, 2nd Floor, Kennedy Avenue, 10 The Mall, Amritsar - 143001, Punjab • <b>Anand:</b> 109-110, Maruti Sharnam Complex, Opp. Nandbhumi Party Plot, Anand Vallabh Vidyanagar Road, Anand - 388001, Gujarat</p> <p>• <b>Aurangabad:</b> Unit B-5, 1st Floor, Aurangabad Business Centre, Adalat Road, Aurangabad - 431001, Maharashtra</p> <p>• <b>Bangalore (M G Road):</b> Phoenix Pinnacle, First Floor, Unit 101 -104, No 46, Ulsoor Road, Bangalore 560042, Karnataka</p> <p>• <b>Bangalore (Jayanagar):</b> No. 311/7, Ground Floor 9th Main, 5th Block, Jayanagar, Bangalore - 560 041</p> <p>• <b>Bangalore (Malleswaram):</b> Sri Kamakshi Sadan No. 44/1, 1st Floor, 4th Cross, Malleswaram, Bangalore - 560 003</p> <p>• <b>Baroda:</b> 2nd Floor, Offc No 202, Goldcroft, Jetalpur Road, Alkapuri, Vadodara 390007, Gujarat</p> <p>• <b>Bhopal:</b> MF-26/27 Block-C, Mezzanine Floor, Mansarovar Complex, Hoshangabad Road, Bhopal-462016, Madhya Pradesh</p> <p>• <b>Bhubhaneshwar:</b> Plot No - 381, Khata - 84, MZ Kharvel Nagar, (Near Ram Mandir), Dist - Khurda, Bhubaneswar-751001, Odisha</p> <p>• <b>Chandigarh:</b> SCO 137-138, FF, Sec-9C, Chandigarh 160017, Chandigarh</p> <p>• <b>Chattisgarh:</b> Shop No. 10,11 &amp; 12, Ground Floor, Raheja Towers, Jail Road, Raipur 492001, Chattisgarh</p> <p>• <b>Chennai-Anna Nagar:</b> N R Dave Complex, 1st Floor, No: 201/C34, 2nd Avenue, Anna Nagar West, Chennai - 600 040</p> <p>• <b>Chennai-Lloyds Road:</b> Abithil Square, 189, Lloyds Road, Royapettah, Chennai 600014, Tamil Nadu</p> <p>• <b>Chennai-Tambaram Sanitorium:</b> Door No 24, Ground Floor, GST Road, Tambaram Sanitorium, Chennai 600 047</p> <p>• <b>Cochin:</b> #956/3 &amp; 956/4 2nd Floor, Teepeyam Towers, Kurushupally Road, Off MG Road, Ravipuram, Kochi 682015, Kerala</p> <p>• <b>Coimbatore:</b> Ground Floor, No.1, Father Rhondy Street, Azad Road, R.S. Puram, Coimbatore 641002, Tamil Nadu</p> <p>• <b>Dehradun:</b> 1st Floor, Opp. St. Joseph school back gate, 33, Subhash road,</p>	<p>Dehradun 248001, Uttaranchal</p> <p>• <b>Durgapur :</b> Mezzanine Floor, Lokenath Mansion, Sahid Khudiram Sarani, CityCentre, Durgapur 713216, West Bengal</p> <p>• <b>Gurgaon:</b> M.G. Road, Vipul Agora Bulding, Unit no 109, 1st Floor, Opp. JMD Regedt Sq, Gurgaon - 122001</p> <p>• <b>Guwahati :</b> Jadavbora Complex, M.Devanpath, Ullubari, Guwahati 781007, Assam</p> <p>• <b>Hyderabad-Begumpet:</b> Gowra Plaza, 1st Floor, No: 1-8-304-307/381/444,S.P. Road, Begumpet, Secunderabad, Hyderabad 500003, Andhra Pradesh</p> <p>• <b>Indore:</b> 310-311 Starlit Tower,29/1 Y N Road, Indore 452001, Madhya Pradesh</p> <p>• <b>Jaipur:</b> Building No 1, Opp Amrapura Sthaan, M.I. Road, Jaipur 302001, Rajasthan</p> <p>• <b>Jalandhar:</b> 102, 1st Floor, Arora Prime Tower, G T Road, Jalandhar - 144001, Punjab</p> <p>• <b>Jamshedpur :</b> Padmalaya, 18 Ram Mandir Area, Ground Floor, Bistupur, Jamshedpur - 831001, Jharkhand</p> <p>• <b>Janak Puri:</b> 108, Mahatta Tower, B Block, Janak Puri, New Delhi 110058</p> <p>• <b>Jodhpur:</b> 1st Floor, Plot No 3, Sindhi Colony, Shastri Nagar Jodhpur - 342003, Rajasthan</p> <p>• <b>Kalyani:</b> B- 9/14 (C.A), 1st Floor, Central Park, Dist- Nadia, Kalyani 741235, West Bengal</p> <p>• <b>Kanpur:</b> Unit No. G-5, Sai square 16/116, (45), Bhargava Estate Civil Lines, Kanpur 208001, Uttar Pradesh</p> <p>• <b>Kolhapur:</b> 1089, E Ward, Anand Plaza, Rajaram Road, Kolhapur 416001, Maharashtra</p> <p>• <b>Kolkata - Dalhousie:</b> Room No. 409, 4th Floor, Oswal Chambers, 2, Church Lane Kolkata - 700001, West Bengal</p> <p>• <b>Kolkata - Lords :</b> 227, A/C Bose Road, Anandalok, 1st Floor, Room No. 103/103 A, Block - B, Kolkata 700020, West Bengal</p> <p>• <b>Lucknow:</b> 1st Floor Modern Business Center, 19 Vidhan Sabha Marg, Lucknow 226001, Uttar Pradesh</p> <p>• <b>Ludhiana:</b> SCO 121, Ground Floor, Feroze Gandhi Market, Ludhiana 141001, Punjab</p> <p>• <b>Mumbai-Borivli:</b> ICICI Prudential Mutual Fund, Ground Floor, Suchitra Enclave Maharashtra Lane, Borivali (West), Mumbai 400092, Maharashtra</p> <p>• <b>Mumbai - Fort:</b> ICICI Prudential Asset Management Co Ltd, 2nd Floor, Brady House, 12/14 Veer Nariman Road Fort, Mumbai 400001, Maharashtra</p> <p>• <b>Mumbai - Ghatkopar:</b> Ground Floor, Unit No 4 &amp; 5, Platinum Mall, Opposite Ghatkopar Railway Station, Jawahar Road, Ghatkopar</p>	<p>East, Mumbai 400077</p> <p>• <b>Mumbai - Goregaon:</b> 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai 400063, Maharashtra</p> <p>• <b>Mumbai-Khar:</b> ICICI Prudential Mutual Fund, 101, 1st Floor, Abbas Manzil, Opposite Khar Police Station, S. V. Road, Khar (W), Mumbai 400052, Maharashtra</p> <p>• <b>Mumbai-Thane:</b> ICICI Prudential Mutual Fund, Ground Floor, Mahavir Arcade, Ghantali Road, Naupada, Thane West, Thane 400602, Maharashtra</p> <p>• <b>Mumbai-Vashi:</b> ICICI Prudential AMC Ltd, Devavrata Co-op Premises, Plot No 83, Office No 26, Gr Floor, Sector 17, Vashi, Navi Mumbai 400703, Maharashtra</p> <p>• <b>Nagpur:</b> 1st Floor, Mona Enclave, WHC Road, Near Coffee House Square, Above Titan Eye Showroom, Dharampeth, Nagpur 440010, Maharashtra</p> <p>• <b>Nashik:</b> 1st Floor, Plot no. 57, Karamkala, New Pandit Colony, Opp. Old Municipal Corporation, (NMC) Off Sharanpur Road, Nashik - 422 002, Maharashtra</p> <p>• <b>New Delhi:</b> 12th Floor Narain Manzil, 23 Barakhamba Road, New Delhi 110001, New Delhi</p> <p>• <b>Noida:</b> F-25, 26 &amp; 27, First Floor, Savitri market, Sector-18, Noida 201301, Uttar Pradesh</p> <p>• <b>Panjim:</b> Sandeep Apts, Shop No. 5 &amp; 6, Grond Floor, Next to Hotel Samrat, Dr. Dada Vaidya Road, Panaji 403001, Goa</p> <p>• <b>Patna :</b> 1st Floor, Kashi Place, Dak Bungalow Road, Patna 800001, Bihar</p> <p>• <b>Pune:</b> 1205 /4/6 Shivaji Nagar, Chimbalkar House, Opp Sambhaji Park, J M Road, Pune 411004, Maharashtra</p> <p>• <b>Pune (Camp):</b> Ground Floor, Office No. 6, Chetna CHS Ltd., General Thimayya Marg, Camp - Pune 411 001</p> <p>• <b>Raipur:</b> Shop No. 10,11 &amp; 12, Ground Floor, Raheja Towers, Jail Road, Raipur 492001, Chattisgarh</p> <p>• <b>Rajkot:</b> Office no 201, 2nd Floor, Akshar X, Jagannath-3, Dr. Yagnik Road, Rajkot 360001, Gujarat</p> <p>• <b>Siliguri :</b> Ganapati Plaza, 2nd Floor, Sevoke Road, Siliguri 734001, West Bengal</p> <p>• <b>Surat:</b> HG 30, B Block, International Trade Center, Majura Gate, Surat 395002, Gujarat</p> <p>• <b>Udaipur:</b> Shukrana, 6 Durga Nursery Road, Near Sukhadia Memorial, Udaipur 313001, Rajasthan</p> <p>• <b>Varanasi:</b> D-58/2, Unit No.52 &amp; 53, 1st Floor, Kuber Complex, Rath Yatra Crossing, Varanasi 221010, Uttar Pradesh</p> <p>• <b>Email:</b> trxn@icicipruamc.com</p>
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**Toll Free Numbers: (MTNL/BSNL) 1800222999 ; (Others) 18002006666 • Website: www.icicipruamc.com**

**Other Cities: Additional official transaction acceptance points (CAMS Transaction Points)**

<p>• <b>Agartala:</b> Advisor Chowmuhani (Ground Floor) Krishnanagar, Agartala 799001, Tripura</p> <p>• <b>Agra:</b> No. 8, II Floor Maruti Tower Sanjay Place, Agra 282002, Uttar Pradesh</p> <p>• <b>Ahmedabad:</b> 111-113, 1st Floor, Devpath Building, off : C G Road, Behind Lal Bungalow, Ellis Bridge , Ahmedabad, Ahmedabad 380006, Gujarat</p> <p>• <b>Ajmer:</b> Shop No.S-5, Second Floor Swami Complex, Ajmer 305001, Rajasthan</p> <p>• <b>Akola :</b> Opp. RLT Science College Civil Lines, Akola 444001, Maharashtra</p> <p>• <b>Aligarh:</b> City Enclave, Opp. Kumar Nursing Home Ramghat Road, Aligarh 202001, Uttar Pradesh</p> <p>• <b>Allahabad:</b> 30/2, A&amp;B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad 211001, Uttar Pradesh</p> <p>• <b>Alleppey:</b> Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Alleppey 688011, Kerala</p> <p>• <b>Alwar:</b> 256A, Scheme No:1, Arya Nagar, Alwar 301001, Rajasthan</p> <p>• <b>Amaravati:</b> 81, Gulsham Tower, 2nd Floor Near Panchsheel Talkies, Amaravati 444601, Maharashtra</p> <p>• <b>Ambala :</b> Opposite PEER, Bal Bhawan Road, Ambala 134003, Haryana</p> <p>• <b>Amreli:</b> B8, 1st Floor, Mira Arcade, Library Road, Amreli 365 601. Tel.: (02792) 220792</p> <p>• <b>Amritsar:</b> SCO - 18J, 'C' Block, Ranjit Avenue, Amritsar 140001, Punjab</p> <p>• <b>Anand:</b> 101, A.P. Tower, B/H, Sardhar Gunj Next to Nathwani Chambers , Anand 388001, Gujarat</p> <p>• <b>Anantapur:</b> 15-570-33, I Floor Pallavi Towers, Anantapur 515001, Andhra Pradesh</p> <p>• <b>Andheri (parent: Mumbai ISC):</b> CTS No 411, Citipoint, Gundivali, Teli Gali, Above C.T. Chatwani Hall, Andheri 400069, Maharashtra</p> <p>• <b>Ankleshwar:</b> Shop # F -56, 1st Floor, Omkar Complex, Opp Old Colony, Near Valia Char Rasta, G.I.D.C., Ankleshwar 393002, Gujarat</p> <p>• <b>Arambagh:</b> Ward No 5, Basantapur More, PO Arambag, Hoogly, Arambagh-712601, West Bengal. Tel.: (03211) 211003</p> <p>• <b>Asansol:</b> Block - G 1st Floor P C Chatterjee Market Complex Rambandhu Talab P O Ushagram, Asansol 713303, West Bengal</p> <p>• <b>Aurangabad:</b> Office No. 1, 1st Floor Amodi Complex Juna Bazar, Aurangabad 431001, Maharashtra</p> <p>• <b>Balalore:</b> B C Sen Road,</p>	<p>Balalore 756001, Orissa</p> <p>• <b>Bangalore:</b> Trade Centre, 1st Floor 45, Dikensen Road (Next to Manipal Centre), Bangalore 560042, Karnataka</p> <p>• <b>Bankura:</b> CAMS Service Center, Cinema Road, Nutunganj, Beside Mondal Bakery, P.O. &amp; Dist. Bankura 722101</p> <p>• <b>Bardoli:</b> F-10, First Wings, Desai Market, Gandhi Road Bardoli - 394601. Contact No: 8000791814</p> <p>• <b>Bareilly:</b> F-62-63, Butler Plaza Civil Lines, Bareilly 243001, Uttar Pradesh</p> <p>• <b>Belgaum:</b> Tanish Tower CTS No. 192/A, Guruwar Peth Tilakwadi, Belgaum 590006, Karnataka</p> <p>• <b>Bellary:</b> CAMS Service centre, # 60/5, Mullangi Compound, Gandhinagar Main Road, (Old Gopalswamy Road), Bellary 583103, Karnataka</p> <p>• <b>Berhampur:</b> First Floor, Upstairs of Aaroon Printers Gandhi Nagar Main Road, Berhampur 760001, Orissa</p> <p>• <b>Bhagalpur:</b> Dr R P Road Khalifabag Chowk, Bhagalpur 812002, Bihar</p> <p>• <b>Bharuch (parent: Ankleshwar TP):</b> F-108, Rangoli Complex Station Road , Bharuch 392001, Gujarat</p> <p>• <b>Bhatinda:</b> 2907 GH, GT Road Near Zila Parishad, Bhatinda 151001, Punjab</p> <p>• <b>Bhavnagar:</b> 305-306, Sterling Point Waghawadi Road Opp. HDFC Bank, Bhavnagar 364002, Gujarat</p> <p>• <b>Bhilai:</b> Shop No. 117, Ground Floor, Khicharia Complex, Opposite IDBI Bank, Nehru Nagar Square, Bhilai 490020, Chattisgarh</p> <p>• <b>Bhilwara:</b> Indraparstha tower Shop Nos 209-213, Second floor, Shyam ki Sabji Mandi, Near Mukharij garden, Bhilwara 311001, Rajasthan</p> <p>• <b>Bhopal:</b> Plot no 1o. 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh - 462 011. Tel.: 9203900546</p> <p>• <b>Bhubaneswar:</b> 101/ 7, Janpath, Unit-III, Bhubaneswar 751001, Orissa</p> <p>• <b>Bhuj:</b> Data Solution, Office No: 17 I st Floor Municipal Building Opp Hotel Prince Station Road, Bhuj - Kutch 370001, Gujarat</p> <p>• <b>Bhusawal (Parent: Jalgaon TP):</b> 3, Adelade Apartment Christain Mohala, Behind Gulshan-E-Iran Hotel Amardeep Talkies Road Bhusawal, Bhusawal 425201, Maharashtra</p> <p>• <b>Bihar Sharif (Nalanda):</b> R - C Palace, Amber Station Road, Opp. Mamta Complex, Bihar Sharif (Nalanda) Pin - 803101, Bihar.</p> <p>• <b>Bikaner:</b> Behind Rajasthan Patrika, In front of Vijaya Bank, 1404,</p>	<p>Amar Singh Pura, Bikaner 334001, Rajasthan</p> <p>• <b>Bilaspur:</b> Shop No.B-104, First Floor, Narayan Plaza, Link Road, Bilaspur (C.G.) 495001. Tel: 9203900626</p> <p>• <b>Bohorompur:</b> Prantik Para, Near Hotel Samrat, P. O Chilita, P S Bohorompur, Bohorompur, West Bengal 742165</p> <p>• <b>Bokaro:</b> Mazzanine Floor, F-4, City Centre Sector 4, Bokaro Steel City 827004, Bokaro 827004, Jharkhand</p> <p>• <b>Burdwan:</b> 399, G T Road Basement of Talk of the Town, Burdwan 713101, West Bengal</p> <p>• <b>Calicut:</b> 29/97G 2nd Floor Gulf Air Building Mavoor Road Arayidathupalam, Calicut 673016, Kerala</p> <p>• <b>Chandigarh:</b> Deepak Towers, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh 160017, Punjab</p> <p>• <b>Chandrapur:</b> Opp Mustafa Decor, Near Bangalore Bakery, Kasturba Road, Chandrapur, Maharashtra - 442 402, Tel no.: 07172 - 253108</p> <p>• <b>Chennai:</b> Ground Floor No.178/10, Kodambakkam High Road Opp. Hotel Palmgrove Nungambakkam, Chennai 600034, Tamil Nadu</p> <p>• <b>Chennai:</b> 7th floor, Rayala Tower - III, 158, Annasalai, Chennai, Chennai 600002, Tamil Nadu</p> <p>• <b>Chennai:</b> Ground floor, Rayala Tower- I, 158, Annasalai, Chennai, Chennai 600002, Tamil Nadu</p> <p>• <b>Cochin:</b> 1st Floor, K C Centre, Door No. 42/227-B, Chittoor Road, Opp. North Town Police Station, Kacheripady, Cochin - 682 018. Tel.: (0484) 6060188/6400210</p> <p>• <b>Coimbatore:</b> Old # 66 New # 86, Lokamanya Street (West) Ground Floor R.S. Puram, Coimbatore 641002, Tamil Nadu</p> <p>• <b>Coochbehar:</b> N. N. Road, Power House, Choupathi, Coochbehar-736101, West Bengal, Tel.: 9378451365</p> <p>• <b>Cuttack:</b> Near Indian Overseas Bank Cantonment Road Mata Math, Cuttack 753001, Orissa</p> <p>• <b>Davenegere:</b> 13, 1st Floor, Akkamahadevi Samaj Complex Church Road P.J.Extension, Devengere 577002, Karnataka</p> <p>• <b>Dehradun:</b> 204/121 Nari Shilp Mandir Marg Old Connaught Place, Dehradun 248001, Uttaranchal</p> <p>• <b>Delhi:</b> CAMS Collection Centre, Flat no.512, Narain Manzil, 23, Barakhamba Road, Connaught Place, New Delhi 110001, New Delhi</p> <p>• <b>Deoghar:</b> S S M Jalan Road Ground floor Opp. Hotel Ashoke Caster Town, Deoghar</p>
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**Other Cities: Additional official transaction acceptance points (CAMS Transaction Points) (Contnd.)**

814112, Jharkhand • **Dhanbad:** Urmila Towers Room No: 111(1st Floor) Bank More, Dhanbad 826001, Jharkhand • **Durgapur:** City Plaza Building, 3rd Floor, City Centre, Durgapur 713216, West Bengal • **Erode:** 197, Seshaiyer Complex Agraharam Street, Erode 638001, Tamil Nadu • **Faridhabad:** B-49, 1st Floor Nehru Ground Behind Anupam Sweet House NIT, Faridhabad 121001, Haryana • **Firozabad:** 53, 1st Floor, Shastri Market, Sadar Bazar, Firozabad - 283 203. Contact no.: 0561-2240495 • **Gaya:** 69, Gandhi Chowk (Ground Floor), K.P. Road, Gaya, Bihar, PIN: 823 001 • **Ghaziabad:** 113/6 I Floor Navyug Market, Gazhiabad 201001, Uttar Pradesh • **Goa:** Lawanda Sarmalkar Bhavan, 1st Floor, Office No. 2 Next to Mahalaxmi Temple, Panaji, Goa 403001. Tel.: 0832-6460439 • **Gondal:** Parent CSC - Rajkot, A/177, Kailash Complex, Khedut Decor, Gondal 360311, Gujarat • **Gorakhpur:** Shop No. 3, Second Floor, The Mall Cross Road, A.D. Chowk Bank Road, Gorakhpur 273001, Uttar Pradesh • **Guntur:** Door No 5-38-44 5/1 BRODIPET Near Ravi Sankar Hotel, Guntur 522002, Andhra Pradesh • **Gurgaon:** SCO - 17, 3rd Floor, Sector-14, Gurgaon 122001, Haryana • **Guwahati:** A.K. Azad Road, Rehbari, Guwahati 781008, Assam • **Gwalior:** G-6, Global Apartment Phase-II, Opposite Income Tax Office, Kailash Vihar City Centre, Gwalior 474001, Madhya Pradesh • **Hazaribag:** Municipal Market Annanda Chowk, Hazaribagh 825301, Jharkhand • **Hisar:** 12, Opp. Bank of Baroda Red Square Market, Hisar 125001, Haryana • **Hubli:** No.204 - 205, 1st Floor, 'B' Block, Kundagol Complex, Opp. Court, Club Road, Hubli 580029, Karnataka • **Hyderabad:** 208, II Floor, Jade Arcade Paradise Circle, Secunderabad 500003, Andhra Pradesh • **Indore:** 101, Shalimar Corporate Centre 8-B, South Tukogunj, Opp. Greenpark, Indore 452001, Madhya Pradesh • **Jabalpur:** 975, Chouksey Chambers, Near Gitanjali School, 4th Bridge, Napier Town, Jabalpur 482001, Madhya Pradesh • **Jaipur:** R-7, Yudhisthir Marg, C-Scheme Behind Ashok Nagar Police Station, Jaipur 302001, Rajasthan • **Jalandhar:** 367/8, Central Town Opp. Gurudwara Diwan Asthan, Jalandhar 144001, Punjab • **Jalgaon:** Rustomji Infotech Services 70, Navipeth Opp. Old Bus Stand, Jalgaon 425001, Maharashtra • **Jalna C.C. (Parent: Aurangabad):** Shop No 6, Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna 431203, Maharashtra • **Jalpaiguri:** Babu Para, Beside Meenaar Apartment, Ward No VIII, Kotwali Police Station, PO & Dist Jalpaiguri 735101, West Bengal. Tel: (03561) 222299 • **Jammu:** JRDS Heights, Lane Opp. S&S Computers, Near RBI Building, Sector 14, Nanak Nagar, Jammu 180004, Jammu & Kashmir • **Jamnagar:** 207, Manek Centre, P N Marg, Jamnagar 361001, Gujarat. Tel.: (0288) 6540116 • **Jamshedpur:** Millennium Tower, "R" Road Room No:15 First Floor, Bistupur, Jamshedpur 831001, Jharkhand • **Jhansi:** Opp SBI Credit Branch Babu Lal Kharkana Compound Gwalior Road, Jhansi 284001, Uttar Pradesh • **Jodhpur:** 1/5, Nirmal Tower 1st Chopasani Road, Jodhpur 342003, Rajasthan • **Junagadh:** Circle Chowk, Near Choksi Bazar Kaman, Gujarat, Junagadh 362001, Gujarat • **Kadapa:** Bandi Subbaramaiah Complex, D.No:3/1718, Shop No: 8, Raja Reddy Street, Besides Bharathi Junior College, Kadapa 516001, Andhra Pradesh • **Kakinada:** No.33-1, 44 Sri Sathya Complex Main Road, Kakinada 533001, Andhra Pradesh • **Kalyani:** A - 1/50, Block - A, Dist Nadia, Kalyani 741235, West Bengal • **Kannur:** Room No.14/435 Casa Marina Shopping Centre Talap, Kannur 670004, Kerala • **Kanpur:** I Floor 106 to 108 CITY CENTRE Phase II 63/2, The Mall, Kanpur 208001, Uttar Pradesh • **Karimnagar:** H.No.7-1-257, Upstairs S B H Mangammathota, Karimnagar 505001, Andhra Pradesh • **Karnal (Parent: Panipat TP):** 7, II<sup>nd</sup> Floor, Opp Bata Showroom Kunjapura Road, Karnal 132001, Haryana • **Karur:** # 904, 1st Floor Jawahar Bazaar, Karur 639001, Tamil Nadu • **Kharagpur:** 623/1 Malancha Main Road, PO Nimpura, Ward No - 19, Kharagpur 721304, West Bengal • **Kolhapur:** 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur 416001, Maharashtra • **Kolkata Central:** 2A, Ganesh Chandra Avenue, Room No. 3A, "Commerce House" (4th Floor), Kolkata 700013 • **Kolkata:** Saket Building, 44 Park Street, 2nd Floor, Kolkata 700071, West Bengal • **Kollam:** Kochupillamoodu Junction Near VLC, Beach Road, Kollam 691001, Kerala

• **Korba:** Shop No 6, Shriram Commercial Complex, Infront of Hotel Blue Diamond, Ground Floor, T. P. Nagar, Korba-495677 • **Kota:** B-33 'Kalyan Bhawan Triangle Park, Vallabh Nagar, Kota 324007, Rajasthan • **Kottayam:** Jacob Complex, Building No - Old No-1319F, New No - 25120, Behind Makkil Centre Good Sheperd Road, Kottayam 686001, Kerala. Tel.: (0481) 6060018 • **Kumbakonam:** Jailani Complex 47, Mutt Street, Kumbakonam 612001, Tamil Nadu • **Kurnool:** Shop No. 26 and 27, Door No. 39/265A & 39/265B, Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39'h Ward, Kurnool, Andhra Pradesh - 518001. Contact No.: 08518-650391 • **Lucknow:** Off # 4, 1st Floor, Centre Court Building, 3/C, 5 - Park Road, Hazratganj, Lucknow 226001, Uttar Pradesh • **Ludhiana:** U/ GF, Prince Market, Green Field Near Traffic Lights, Sarabha Nagar Pulli Pakhowal Road, Ludhiana 141002, Punjab • **Madurai:** Cams Service Centre, # 1st Floor, 278, North Perumal, Maistry Street (Nadar Lane), Madurai 625001, Tamil Nadu • **Mahabubnagar:** H.No. 1-3-110, Rajendra Nager, Mahabubnagar 509001, Telangana State, Contact No: 9440033182 • **Malappuram:** Kadakkadan Complex, Opp Central School, Malappuram 670504, Kerala. Contact no.: (0483) 2737101 • **Mangalore:** No. G 4 & G 5, Inland Monarch Opp. Karnataka Bank Kadri Main Road, Kadri, Mangalore 575003, Karnataka • **Mapusa:** Office no.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-Op Bank Ltd, Angod, Mapusa 403507, Goa • **Margao:** B-301, Reliance Trade Center, Opp. Grace Nursing Home, Near Cafe Tato, V. V. Road (Varde Valaulikar), Margao, Goa 403 601 • **Meerut:** 108 1st Floor Shivam Plaza Opposite Eves Cinema, Hapur Road, Meerut 250002, Uttar Pradesh • **Mehsana:** 1st Floor, Subhadra Complex Urban Bank Road, Mehnsana 384002, Gujarat • **Mirzapur:** First Floor, Canara Bank Building, Dhundhi Katra Mirzapur Uttar Pradesh 231001, Tel.: (05442) 220282 • **Moradabad:** H 21-22, 1st Floor, Ram Ganga Vihar Shopping Complex, Opposite Sales Tax Office, Moradabad - 244 001. Tel. No: (0591) 6450125 • **Mumbai:** Rajabahdur Compound, Ground Floor Opp Allahabad Bank, Behind ICICI Bank 30, Mumbai Samachar Marg, Fort, Mumbai 400023, Maharashtra • **Muzzafarpur:** Brahman toli, Durgasthan Gola Road, Muzaffarpur 842001, Bihar • **Mysoore:** No.1, 1st Floor CH.26 7th Main, 5th Cross (Above Trishakthi Medicals) Saraswati Puram, Mysore 570009, Karnataka • **Nadiad:** F-134, First Floor, Ghantakarna Complex, Gunj Bazar, Nadiad - 387001, Gujarat • **Nagercoil:** IV Floor, Kalluveetil Shyras Center 47, Court Road, Nagercoil - 629 001, Tel.: (04652) 229549 • **Nagpur:** 145 Lendra Park, Behind Indus Ind Bank New Ramdaspet, Nagpur 440010, Maharashtra • **Nanded:** Shop No\_ 303, 1st Floor, Rajmohd complex, Mani Road Sree nagar, Nanded - 431 605, Tel. no.: 9579444034 • **Nasik:** Ruturang Bungalow, 2 Godavari Colony Behind Big Bazar, Near Boys Town School Off College Road, Nasik 422005, Maharashtra • **Navsari:** CAMS Service Center, 16, 1st Floor, Shivani Park, Opp. Shankheswar Complex, Kaliawadi, Navsari, Navasari 396445, Gujarat • **Nellore:** 97/56, I Floor Immadisetty Towers Ranganayakulapet Road, Santhapet, Nellore 524001, Andhra Pradesh • **New Delhi:** 304-305 III Floor Kanchenjunga Building 18, Barakhamba Road Cannaugat Place, New Delhi 110001, New Delhi • **Noida:** CAMS Service centre C-81, 1st floor, Sector - 2, Noida, Noida 201301, Uttar Pradesh • **Palakkad:** 10 / 688, Sreedevi Residency Mettupalayam Street, Palakkad 678001, Kerala • **Panipat:** 83, Devi Lal Shopping Complex Opp ABN Amro Bank, G.T. Road, Panipat 132103, Haryana • **Patiala:** 35, New Lal Bagh Colony, Patiala 147001, Punjab • **Patna:** G-3, Ground Floor, Om Complex, Near Saket Tower, SP Verma Road, Patna 800001, Bihar • **Pathankot:** 13-A, 1st Floor, Gurjeet Market, Dhangu Road, Pathankot 145001, Punjab • **Pondicherry:** S-8, 100, Jawaharlal Nehru Street (New Complex, Opp. Indian Coffee House), Pondicherry 605001, Pondichery • **Pune - Chinchwad:** Harshal Heights, Shop No. 29 Basement, Opp. Gawade Petrol Pump, Link Road, Chinchwad, Pune 411033 • **Pune:** Nirmiti Eminence, Off No. 6, I Floor Opp Abhishek Hotel Mehendale Garage Road Erandawane, Pune 411004, Maharashtra • **Raipur:** HIG,C-23, Sector - 1, Devendra Nagar, Raipur 492004, Chattisgarh • **Rajahmundry:** Cabin 101 D.No

7-27-4 1st Floor Krishna Complex Baruvari Street T Nagar, Rajahmundry 533101, Andhra Pradesh • **Rajkot:** Office 207 - 210, Everest Building Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot 360001, Gujarat • **Ranchi:** 4, HB Road, No: 206, 2nd Floor Shri Lok Complex, Ranchi 834001, Jharkhand • **Rohtak:** 205, 2ND Floor, Blg. No. 2, Munjal Complex, Delhi Road, Rohtak 124001, Haryana • **Rourkela:** 1st Floor Mangal Bhawan Phase II Power House Road, Rourkela 769001, Orissa • **Saharanpur:** I Floor, Krishna Complex Opp. Hathi Gate Court Road, Saharanpur 247001, Uttar Pradesh • **Salem:** No.2, I Floor Vivekananda Street, New Fairlands, Salem 636016, Tamil Nadu • **Sambalpur:** C/o Raj Tibrewal & Associates Opp. Town High School, Sansarak, Sambalpur 768001, Orissa • **Sangli:** Jiveshwar Krupa Bldg, Shop. No. 2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli 416416, Contact No.: 0233-6600510 • **Satara:** 117 / A / 3 / 22, Shukrawar Peth Sargam Apartment, Satara 415002, Maharashtra • **Shillong:** 3rd Floor, RPG Complex, Keating Road, Shillong 793001, Meghalaya, Tel: (0364) 2502511 • **Shimla:** I Floor, Opp. Panchayat Bhawan Main gate Bus stand, Shimla 171001, Himachal Pradesh • **Shimoga:** Netravathi Near Gutti Nursing Home Kuvempu Road, Shimoga 577201, Karnataka • **Siliguri:** No 7, Swamiji Sarani, Ground Floor Hakimpara, Siliguri 734001, West Bengal • **Silchar:** Shyamaprasad Road, Shillongpaty, 2nc1 Floor, Opp: Hinti Nursing Home, Silchar-788 001, Tel.: (03842) 230407 • **Solapur:** 4, Lokhandwala Tower, 144, Sidheshwar Peth, Near Z.P. Opp. Pangal High School, Solapur 413001, Maharashtra • **Sriganaganpur:** 18 L Block, Sri Ganganagar 335001, Rajasthan • **Sreerampur:** 47/5/1, Raja Rammohan Roy Sarani, PO. Mallickpara, Dist. Hoogly, Sreerampur - 712203 • **Srinagar:** Near New Era Public School, Rajbagh, Srinagar - 190008, Tel: (0194) 2311428 • **Surat:** Office No 2 Ahura -Mazda Complex First Floor, Sadak Street Timalyaward, Nanpura, Surat 395001, Gujarat • **Thane:** 3rd Floor, Nalanda Chambers, "B" Wing, Gokhale Road, Near Hanuman Temple, Naupada, Thane (West) - 400602, Tel: (022) 25395461 • **Thiruppur:** 1(1), Binny Compound, II Street, Kumaran Road, Thiruppur 641601, Tamil Nadu • **Thiruvalla:** Central Tower, Above Indian Bank Cross Junction, Thiruvalla 689101, Kerala • **Tirunelveli:** III Floor, Nellai Plaza 64-D, Madurai Road, Tirunelveli 627001, Tamil Nadu • **Tirupathi:** Shop No: 6, Door No: 19-10-8 (Opp to Passport Office), AIR Bypass Road Tirupati - 517501, Andhra Pradesh, Tel: (0877) 6561003 • **Trichur:** Room No. 26 & 27, DEE PEE PLAZA, Kokkalai, Trichur 680001, Kerala • **Trichy:** No 8, I Floor, 8th Cross West Extn Thillainagar, Trichy 620018, Tamil Nadu • **Trivandrum:** R S Complex Opposite of LIC Building Pattom PO, Trivandrum 695004, Kerala • **Udaipur:** 32 Ahinsapuri Fatehpura Circle, Udaipur 313004, Rajasthan • **Unjha (Parent: Mehsana):** 10/11, Maruti Complex, Opp. B R Marbles, Highway Road, Unjha 384170, Gujarat • **Vadodara:** 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara 390007, Gujarat • **Valsad:** Ground Floor Yash Kamal "B" Near Dreamland Theater Tithal Road, Valsad 396001, Gujarat • **Vapi:** 208, 2nd Floor, Heena Arcade, Opp. Tirupati Tower, Near G.I.D.C., Char Rasata, Vapi 396195, Gujarat • **Varanasi:** Office no 1, Second floor, Bhawani Market, Building No. D-58/2-A1, Rathayatra, Beside Kuber Complex Varanasi - 221010, Uttar Pradesh • **Vellore:** No.1, Officers Line, 2nd Floor, MNR Arcade, Opp. ICICI Bank, Krishna Nagar, Vellore 632001, Tamil Nadu • **Vijayawada:** 40-1-68, Rao & Ratnam Complex Near Chennupati Petrol Pump M.G Road, Labbipet, Vijayawada 520010, Andhra Pradesh • **Visakhapatnam:** Door No 48-3-2, Flat No 2, 1st Floor, Sidhi Plaza, Near Visakha Library, Srinagar, Visakhapatnam- 530 016, Tel: (0891) 6502010, Andhra Pradesh • **Warangal:** A.B.K Mall, Near Old Bus Depot Road, F-7, 1st Floor, Ramnagar, Hanamkonda, Warangal 506001, Andhra Pradesh • **Yamuna Nagar:** 124-B/R Model Town Yamunanagar, Yamuna Nagar 135001, Haryana.

**TP Lite Centres**

• **Ahmednagar:** B, 1+3, Krishna Encloave Complex, Near Hotel Natraj, Nagar-Aurangabad Road, Ahmednagar 414001, Maharashtra • **Basti:** Office # 3, 1st Floor, Jamia Shopping Complex, Opp Pandey School, Station Road, Basti 272002, Uttar Pradesh

**Other Cities: Additional official transaction acceptance points (CAMS Transaction Points) (Contnd.)**

• **Chhindwara:** Office No - 1, Parasia Road, Near Mehta Colony, Chhindwara 480001, Madhya Pradesh  
 • **Chittorgarh:** CAMS Service centre, 3 Ashok Nagar, Near Heera Vatika, Chittorgarh, Chittorgarh 312001, Rajasthan • **Darbhanga:** Shahi Complex, 1st Floor Near RB Memorial hospital, V.I.P. Road, Benta Laheriasarai, Darbhanga 846001, Bihar • **Dharmapuri:** # 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Dharmapuri 636701, Tamil Nadu • **Dhule:** House No. 3140, Opp Liberty Furniture, Jamnalal Bajaj Road, Near Tower Garden, Dhule - 424001. Contact No. - 02562-640272. • **Faizabad:** Amar Deep Building, 3/20/14, 2nd floor, Niyawan, Faizabad - 224001, Uttar Pradesh. Contact no.: 9235406436 • **Gandhidham:** S-7, Ratnakala Arcade, Plot No. 231, Ward - 12/B, Gandhidham 370201, Gujarat • **Gulbarga:** Pal Complex, 1st Floor Opp. City Bus Stop, SuperMarket, Gulbarga 585101, Karnataka • **Haldia:** 2nd Floor, New Market Complex, Durgachak Post Office, Purba Medinipur District, Haldia 721602, West Bengal • **Haldwani:** Durga City Centre, Nainital Road Haldwani, Haldwani 263139, Uttaranchal • **Haridwar:** F-3, Hotel Shaurya, New Model Colony, Haridwar, Uttarkhand 249408 • **Himmatnagar:** D-78 First Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar 383001, Gujarat • **Hoshiarpur:** Near Archies Gallery Shimla Pahari Chowk, Hoshiarpur 146001, Punjab • **Hosur:** No.303, SIPCOT Staff Housing Colony, Hosur 635126, Tamil Nadu • **Jaunpur:** 248, Fort Road, Near Amber Hotel, Jaunpur 222001, Uttar Pradesh • **Katni:** 1st Floor, Gurunanak Dharmakanta, Jabalpur Road, Bargawan, Katni 483501, Madhya Pradesh • **Khammam:** Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam 507001, Andhra Pradesh • **Malda:** Daxhinapan

Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda 732101, West Bengal • **Manipal:** CAMS Service Centre, Basement floor, Academy Tower, Opposite Corporation Bank, Manipal 576104, Karnataka • **Mathura:** 159/160 Vikas Bazar, Mathura 281001, Uttar Pradesh • **Moga:** Gandhi Road, Opp Union Bank of India, Moga 142001, Punjab • **Namakkal:** 156A / 1, First Floor, Lakshmi Vilas Building Opp. To District Registrar Office, Trichy Road, Namakkal 637001, Tamil Nadu • **Palanpur:** Tirupati Plaza, 3rd Floor, T - 11, Opp. Government Quarter, College Road, Palanpur 385001, Gujarat • **Rae Bareli:** No.17 Anand Nagar Complex, Rae Bareli 229001, Uttar Pradesh • **Rajapalayam:** D. No. 59 A/1, Railway Feeder Road Near Railway Station, Rajapalayam 626117, Tamil Nadu • **Ratlam:** Dafria & Co 81, Bajaj Khanna, Ratlam 457001, Madhya Pradesh • **Ratnagiri:** Kohinoor Complex Near Natya Theatre Nachane Road, Ratnagiri 415639, Maharashtra • **Roorkee:** Cams Service Center, 22 Civil Lines Ground, Floor, Hotel Krish Residency, (Haridwar), Roorkee 247667, Uttaranchal • **Sagar:** Opp. Somani Automobiles Bhagwanganj, Sagar 470002, Madhya Pradesh • **Shahjahanpur:** Bijlipura, Near Old Distt Hospital, Jail Road, Shahjahanpur 242001, Uttar Pradesh • **Sirsa:** Bansal Cinema Market, Beside Overbridge, Next to Nissan car showroom, Hissar Road, Sirsa 125055, Haryana • **Sitapur:** Arya Nagar Near Arya Kanya School, Sitapur 262001, Uttar Pradesh • **Solan:** 1st Floor, Above Sharma General Store Near Sanki Rest house The Mall, Solan 173212, Himachal Pradesh • **Srikakulam:** Door No 4-4-96, First Floor. Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam 532001, Andhra Pradesh • **Sultanpur:** 967, Civil Lines Near Pant Stadium, Sultanpur 228001, Uttar Pradesh • **Surendranagar:** 2 M I Park, Near Commerce College Wadhwan City, Surendranagar 363035, Gujarat

• **Tinsukia:** Dhawal Complex, Ground Floor, Durgabari Rangagora Road, Near Dena Bank, PO Tinsukia, Tinsukia 786125, Assam • **Tuticorin:** 4B / A-16 Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin 628003, Tamil Nadu • **Ujjain:** 123, 1st Floor, Siddhi Vinayaka Trade Centre, Saheed Park, Ujjain 456010, Madhya Pradesh • **Vasco:** No DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex, Near ICICI Bank, Vasco da gama 403802, Goa • **Yavatmal:** Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal 445001, Maharashtra.

*In addition to the existing Official Point of Acceptance of transactions, Computer Age Management Services Pvt. Ltd. (CAMS), the Registrar and Transfer Agent of ICICI Prudential Mutual Fund, having its office at New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H.Road), Chennai - 600 034 shall be an official point of acceptance for electronic transactions received from the Channel Partners with whom ICICI Prudential Asset Management Company Limited has entered or may enter into specific arrangements for all financial transactions relating to the units of mutual fund schemes. Additionally, the secure Internet sites operated by CAMS will also be official point of acceptance only for the limited purpose of all channel partners transactions based on agreements entered into between IPMF and such authorized entities.*

*In addition to the existing Official Point of Acceptance of transactions, authorized Points of Service (POS) of MF Utilities India Private Limited (MFUI) shall be an official point of acceptance for all financial and non-financial transactions. The updated list of POS of MFUI is available on [www.mfuintia.com](http://www.mfuintia.com). The online transaction portal of MFUI is [www.mfuionline.com](http://www.mfuionline.com).*