

**RELIANCE**

Mutual Fund

**Key Information Memorandum Cum Application Form**

Please refer complete details on all pages and scheme description/details while applying.

To be filled in Capital letters &amp; in Blue/Black ink only.

**Reliance Dual Advantage Fixed****Tenure Fund - X - Plan B**

(A Close Ended Hybrid Scheme)

NFO Opening Date : November 25, 2016

NFO Closing Date : December 09, 2016

Tenure : 1339 Days

Corporate Office Address: Reliance Centre, 7th Floor, South Wing &amp; 5th Floor, North Wing, Near Prabhat Colony, Santacruz (East), Mumbai - 400 055.

**1. DISTRIBUTOR / BROKER INFORMATION (Refer Instruction No. 34)**

Name & Broker Code / ARN	Sub Broker / Sub Agent ARN Code	*Employee Unique Identification Number	Sub Broker / Sub Agent Code
48012		E053085	

\*Please sign alongside in case the EUN is left blank/not provided.

I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

**TRANSACTION CHARGES (Mandatory to be filled if you have invested through a distributor)**

(Please tick (✓) any one) ☐ I am a First time investor across Mutual Funds OR ☐ I am an existing investor in Mutual Funds

In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, of ₹ 150 (new investor) & ₹ 100 (existing investor) are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

**2. EXISTING INVESTOR'S FOLIO NUMBER**

(If you have an existing folio number with KYC validated, please mention the number here and proceed to section 10. Mode of holding will be as per existing folio number.)

**3. GENERAL INFORMATION**\*MODE OF HOLDING : ☐ Single ☐ Joint (Default) ☐ Any one or Survivor**4. FIRST APPLICANT DETAILS**NAME PAN / PEKRN\* (First Applicant)  PAN / PEKRN\* (Guardian) Name of Guardian if first applicant is minor /  
Contact Person for non individualsGuardian's Relationship With Minor  
☐ Father ☐ Mother ☐ Court Appointed GuardianDate of Birth  
of 1st ApplicantProof of Date of Birth and Guardian's Relationship with Minor  
☐ Birth Certificate ☐ Passport ☐ OthersOCCUPATION\*\*\* : ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Retired ☐ Government Service/Public Sector  
☐ Business ☐ Forex Dealer ☐ Student ☐ Private Sector Service ☐ OthersSTATUS\* : ☐ Resident Individual ☐ PSU ☐ AOP/BOI ☐ Minor through Guardian ☐ HUF ☐ Trust / Charities / NGOs  
☐ Society ☐ FI / FII ☐ NRI ☐ Company/Body Corporate ☐ Sole Proprietor ☐ Defence Establishment  
☐ PIO ☐ Bank ☐ FPI\*\*\* ☐ Government Body ☐ Partnership Firm ☐ OthersGROSS ANNUAL INCOME DETAILS\*\*\* Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 Crore ☐ >1 Crore

NET-WORTH\*\*\* In ₹ \_\_\_\_\_ as on (Date) \_\_\_\_\_ (Mandatory for Non Individuals)

Are you a Politically Exposed Person (PEP)\*\*\* ☐ Yes ☐ No Are you related to a Politically Exposed Person (PEP) ☐ Yes ☐ NoAre you involved / providing any of the mentioned services : ☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services  
(Applicable only for Non Individuals) ☐ Money Lending / Pawning ☐ None of the above

Note: In case First Applicant is Non Individual please attach FATCA, CRS &amp; Ultimate Beneficial Ownership (UBO) Self Certification Form (Ref Ins No. 40)

\*\*In case First Applicant is Minor then details of Guardian will be required.

**5. SECOND APPLICANT DETAILS**NAME  PAN / PEKRN\*   
OCCUPATION\* : ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Retired ☐ Government Service/Public Sector STATUS\* : ☐ NRI  
☐ Business ☐ Forex Dealer ☐ Student ☐ Private Sector Service ☐ Others ☐ Resident IndividualGROSS ANNUAL INCOME DETAILS\* Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 Crore ☐ >1 Crore

NET-WORTH\* In ₹ \_\_\_\_\_ as on (Date) \_\_\_\_\_

Are you a Politically Exposed Person (PEP)\* ☐ Yes ☐ No Are you related to a Politically Exposed Person (PEP) ☐ Yes ☐ No**6. THIRD APPLICANT DETAILS**NAME  PAN / PEKRN\*   
OCCUPATION\* : ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Retired ☐ Government Service/Public Sector STATUS\* : ☐ NRI  
☐ Business ☐ Forex Dealer ☐ Student ☐ Private Sector Service ☐ Others ☐ Resident IndividualGROSS ANNUAL INCOME DETAILS\* Please tick (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 Crore ☐ >1 Crore

NET-WORTH\* In ₹ \_\_\_\_\_ as on (Date) \_\_\_\_\_

Are you a Politically Exposed Person (PEP)\* ☐ Yes ☐ No Are you related to a Politically Exposed Person (PEP) ☐ Yes ☐ No

\*Mandatory for all type of Investors. It is mandatory for investors to be KYC compliant through a Key Registered Agency (KRA) appointed by SEBI prior to investing in Reliance Mutual Fund. Refer Instruction no.17,18 &amp; 38

**ACKNOWLEDGEMENT**

Received Purchase Application from \_\_\_\_\_

for allotment of

Units under

☐ Reliance Dual Advantage Fixed Tenure Fund X - Plan B - Regular Plan ☐ Growth Option  
☐ Dividend Payout Option

Cheque / DD No. \_\_\_\_\_ Dated \_\_\_\_/\_\_\_\_/\_\_\_\_ Rs. \_\_\_\_\_

Drawn on Bank \_\_\_\_\_

App. No. \_\_\_\_\_

Signature, Date &amp; Stamp



**7. FATCA and CRS DETAILS For Individuals/HUF (Mandatory) Non Individual Investors should mandatory fill separate FATCA/CRS details form**

# Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and its Identification type eg. TIN etc.

Sole/First Applicant/Guardian			Second Applicant			Third Applicant		
Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided. \*In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Sole/First Applicant/Guardian		Second Applicant		Third Applicant	
Country of Birth		Country of Birth		Country of Birth	
Country of Nationality		Country of Nationality		Country of Nationality	

**8. CONTACT DETAILS OF SOLE / FIRST APPLICANT (Refer Instruction No. 7 & 27)**

## Correspondence Address (P.O. Box is not sufficient) ## Please note that your address details will be updated as per your KYC records with CVL / KRA

City Pin Code State Landmark

Overseas Address (Mandatory for FIIs/NRIs/PIOs)

City Pin Code State

Email ID

Mobile + Tel. No. Office Residence

Please register your Mobile No & Email Id with us to get instant transaction alerts via SMS & Email. Investors providing Email Id would mandatorily receive only E - Statement of Accounts in lieu of physical Statement of Accounts.

**9. BANK ACCOUNT DETAILS MANDATORY for Redemption/Dividend/Refunds, if any (Refer Instruction No. 23-25)**

Bank Name

Account No.

A/c. Type (✓) SB Current NRO NRE FCNR

Branch Address

Branch City

PIN

IFSC Code

9 Digit MICR Code\*

Please ensure the name in this application form and in your bank account are the same. Please update your IFSC and MICR Code in order to get payouts via electronic mode in to your bank account.

**10. INVESTMENT & PAYMENT DETAILS (Separate Application Form is required for Investment in each Plan/Option. Multiple cheques not permitted with single application form (Refer Instruction no. 19-22))**

Scheme ☒ Reliance Dual Advantage Fixed Tenure Fund X - Plan B - Regular Plan Option (Please ✓) Growth\*\* Dividend Payout  
(For Product Labeling please refer last page of application form)

Payment Details (Please issue cheque favouring scheme name)

Mode of Payment ☐ Cheque ☐ DD ☐ Funds Transfer ☐ RTGS / NEFT

Investment Amount (Rs.) DD Charges (if applicable) (Rs.) Net Amount- (Rs.)

Instrument No. Dated Drawn on Bank

Bank Branch City

(\* Default option if not selected) -Units will be allotted for the net amount minus the transaction charges if applicable.

**11. NOMINATION - I wish to Nominate ☐ Yes ☐ No (Mandatory if mode of holding is single) (Refer Instruction No. 26)**

In case of existing investor, nomination details mentioned in the below table will replace the existing details registered in the folio

Nominee Name	Guardian Name (in case Nominee is Minor)	Date of Birth of Minor	Allocation (%)	Sign of Nominee	Sign of Guardian	Signature of Applicants
						1st App.
						2nd App.
						3rd App.



Simply send \*\*SMS to 966 400 1111 to avail below facilities

Types of Facilities	Single Folio	Multiple Folio
NAV	SMS mynav	SMS mynav <space> last 6 digits of folio
Balance	SMS Balance	SMS balance <space> last 6 digits of folio
Last 3 Transaction	SMS txn	SMS txn <space> last 6 digits of folio
Statement thru mail	SMS ESOA	SMS ESOA <space> last 6 digits of folio

\*\*SMS charges apply

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For more details : Visit : [www.reliancemutual.com](http://www.reliancemutual.com)

You can also follow us on



**12. UNITHOLDING OPTION - ■ DEMAT MODE ■ PHYSICAL MODE****DEMAT ACCOUNT DETAILS** - These details are compulsory if the investor wishes to hold the units in DEMAT mode. Ref. Instruction No. 30.

Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.

National Securities Depository Limited	Depository participant Name _____ DP ID No. _____ Beneficiary Account No. _____	Central Depository Securities Limited	Depository participant Name _____ Target ID No. _____
Enclosures (Please tick any one box) : <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)			

**13. FOR SWITCH**

<input type="checkbox"/> Partial Switch Amount: Rs _____ or Units: _____	OR	<input type="checkbox"/> Full Switch
From Scheme _____ Plan _____ Option _____		
To Scheme : <input checked="" type="checkbox"/> Reliance Dual Advantage Fixed Tenure Fund X - Plan B - Regular Plan <input type="checkbox"/> Growth Option <input type="checkbox"/> Dividend Payout Option		

Switch over application needs to be submitted only at Designated Investor Service Centre (DISC) of RMF

**14. MATURITY INSTRUCTION**☐ Switch to Scheme/Plan : \_\_\_\_\_ ☐ Proceeds to be dispatched / Credit to Bank A/c (as may be applicable.) (Default)

As a default mechanism, the Scheme shall be fully redeemed on the date of maturity and redemption proceeds shall be dispatched to / credited in the bank account of the unitholders within 10 Business Days from the date of redemption or repurchase.

**15. DECLARATION AND SIGNATURE**

I/We would like to invest in Reliance Dual Advantage Fixed Tenure Fund X - Plan B subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound by the details of the SAI, SID & KIM including details relating to various services including but not limited to Reliance Any Time Money Card. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the Reliance Nippon Life Asset Management Limited (formerly Reliance Capital Asset Management Limited) (RNLAM) liability. I understand that the RNLAM may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I agree RNLAM can debit from my folio for the service charges as applicable from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. Further, I agree that the transaction charge (if applicable) shall be deducted from the subscription amount and the said charges shall be paid to the distributors.

☐ I confirm that I am resident of India.☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.☐ I have read and understood Instruction no. XIII and hereby agree to abide by the same. I hereby declare that the information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income Tax Rules, 1962 and the information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete.

Signature of Applicant
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Signature of Applicant
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Signature of Applicant
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**CHECK LIST**

Please ensure that:

- ☐ Your Application Form is complete in all respect, Name, Address & contact details are mentioned in full, signed by all applicants.
- ☐ Bank Account Details are entered completely and correctly.
- ☐ Permanent Account Number (PAN) of all the applicants are mandatory. Please refer to Instruction no. 17 for details.
- ☐ For KYC, please refer to Instruction no. 18 & for PAN Exempt KYC Refer Instruction no. 38
- ☐ Appropriate Option is ticked.
- ☐ The Cheque / DD is drawn in favour of "Reliance Dual Advantage Fixed Tenure Fund" dated and duly signed.
- ☐ Application Number is mentioned on the reverse of the Cheque / DD.
- ☐ Documents as listed alongside are submitted along with the Application (as applicable to your specific case).

Documents	Companies	Trusts	Societies	Partnership Firms	Fils	Investments through Constituted Attorney
1. Resolution/Authorisation to Invest		✓	✓	✓	✓	
2. List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓	✓	✓	✓
3. Memorandum & Articles of Association	✓					
4. Trust Deed		✓				
5. Bye-Laws			✓			
6. Partnership Deed				✓		
7. Overseas Auditor's Certificate					✓	
8. Notarised Power of Attorney						✓
9. Proof of PAN	✓	✓	✓	✓	✓	✓
10. KYC Compliant	✓	✓	✓	✓	✓	✓