

**Channel Partner / Agent Information**

Distributor's ARN & Name <b>48012</b>	Sub-broker's ARN (code)	Sub-broker Code (internal)	EUIN* (Employee Unique Identification Number) <b>E 0 5 3 0 8 5</b>	Registered Investment Adviser (RIA) Code
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Serial No:  
**LDF & SLTTAF-S V**

**ISC's signature & Time Stamping**

\* Declaration for "Execution only" transaction (only where EUIN box is left blank)  I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Transaction charges For ₹ 10,000 and above:  
 Existing Investor-₹ 100  New Investor-₹ 150  
 Upfront commission shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor.

First/Sole Applicant/ Guardian	Second Applicant	Third Applicant
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1. Existing Investor Information (Please fill in your Folio No. and then proceed to Section 3)  
 Please note that applicant details and mode of holding will be as per existing Folio Number.  
 CKYC compliant  Yes  No (if no, please provide CKYC form & proof/additional documents. If yes, please provide 14 digit CKYC Number) Folio No

**2. New Investor Information (refer instruction 2)**

Name of First/Sole Applicant Gender  Male  Female  Others Name and DoB as per Aadhaar card

Permanent Account Number (PAN)\* Aadhaar Card No. Date of Birth\* D | D | M | M | Y | Y | Y | Y

Central KYC Number  CKYC Proof attached (Mandatory)

Name of Guardian (in case of First / Sole Applicant is a Minor)/Contact Person-Designation (in case of non-individual Investors) / POA Holder Name

Permanent Account Number (PAN)\* Aadhaar Card No. Relationship

Central KYC Number  CKYC Proof attached (Mandatory) Mobile\*

Father's name (mandatory if PAN not provided)

**Go Green Services (Save The Future): Please provide Contact Details of First / Sole Applicant**

E-Mail

STD Code Telephone Mobile

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓)

Account Statement  Annual Report  Other Statutory Information

Mode of Holding [Please (✓)]  Single  Joint  Anyone or Survivor

Address of First / Sole Applicant

TOWN CITY/ DISTRICT STATE PIN CODE

Overseas Address (in case of NRIs/FIIs) (Mandatory)

Name of Second Applicant Gender\*  Male  Female  Others Name and DoB as per Aadhaar card

Permanent Account Number (PAN)\* Aadhaar Card No. Date of Birth\* D | D | M | M | Y | Y | Y | Y

Central KYC Number  CKYC Proof attached (Mandatory) Mobile\*

Name of Third Applicant Gender\*  Male  Female  Others Name and DoB as per Aadhaar card

Permanent Account Number (PAN)\* Aadhaar Card No. Date of Birth\* D | D | M | M | Y | Y | Y | Y

Central KYC Number  CKYC Proof attached (Mandatory) Mobile\*

**To be submitted along with the application form:** 1. Your **FATCA-CRS Details** (Foreign Account Tax Compliance Act) & **KYC Additional Details** (if not already submitted), and 2. **Ultimate Beneficial Owner(s) (UBO)** information (for non-individuals only). Please quote the **Central KYC (CKYC)** number in the boxes provided above or submit your filled-in **CKYC Form** incase of new investor and additional CKYC form incase of existing investors, irrespective of the investment amount. The forms are available on our website.

\*Mandatory

# Combo Application Form for Sundaram Low Duration Fund & Sundaram Long Term Tax Advantage Fund Series V

## 3. KYC details (Mandatory) Individual Non-Individual (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form)

<b>Status of First/Sole Applicant</b> [Please (✓)] <input type="checkbox"/> Listed Company <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Individual <input type="checkbox"/> Minor through guardian <input type="checkbox"/> HUF <input type="checkbox"/> Partnership <input type="checkbox"/> Society/Club <input type="checkbox"/> Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> FPI <input type="checkbox"/> NRI-Repatriable <input type="checkbox"/> NRI-Non-Repatriable <input type="checkbox"/> FII/Sub account of FII <input type="checkbox"/> Fund of Funds in India <input type="checkbox"/> QFI <input type="checkbox"/> Others..... (please specify)	<b>Occupation Details</b> [Please (✓)] <i>(To be filled only if the applicant is an individual)</i> <b>First Applicant</b> <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others..... (please specify) <hr/> <b>Second Applicant</b> <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others..... (please specify) <hr/> <b>Third Applicant</b> <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others..... (please specify)	<b>Gross Annual Income (in ₹)</b> [Please (✓)] <b>First Applicant</b> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) Net-worth (Mandatory for non-individuals) ₹ ..... as on IDIDIMIMIYIYIYIYI (Not older than one year) <hr/> <b>Second Applicant</b> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) Net-worth..... <hr/> <b>Third Applicant</b> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) Net-worth.....	<b>PEP Status</b> <b>First Applicant</b> <b>For Individuals</b> [Please (✓)] Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) <input type="checkbox"/> I am PEP <input type="checkbox"/> I am related to PEP <input type="checkbox"/> Not Applicable <b>For Non-Individuals providing any of the below mentioned services</b> [Please (✓)] <input type="checkbox"/> Foreign Exchange/Money Changer Services <input type="checkbox"/> Gaming/Gambling/Lottery/Casino Services <input type="checkbox"/> Money Lending/Pawning <input type="checkbox"/> None of the above <hr/> <b>Second Applicant</b> <i>(To be filled only if the applicant is an individual)</i> <input type="checkbox"/> I am PEP <input type="checkbox"/> I am related to PEP <input type="checkbox"/> Not Applicable <hr/> <b>Third Applicant</b> <i>(To be filled only if the applicant is an individual)</i> <input type="checkbox"/> I am PEP <input type="checkbox"/> I am related to PEP <input type="checkbox"/> Not Applicable
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## 4. FATCA-CRS DETAILS For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA-CRS Annexure

The below information is required for all applicant(s) / guardian / PoA holder

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Are you a Tax Resident of Country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Is your Country of Birth/ citizenship other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you have answered YES to any of above, please provide the below details

Country of Tax Residence			
Nationality			
Tax Identification Number <sup>\$</sup> or Reason for not providing TIN			
Identification Type (TIN or Other, please specify)			
Residence address for tax purposes (include City, State, Country & Pin code)			
Address Type	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
City of birth			
Country of birth			

<sup>\$</sup> In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.

**FATCA-CRS Instructions**

**Details under FATCA-CRS/Foreign Tax Laws:** The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any **change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.** Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

**If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number.** Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010. \$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.

**5. Bank Account Details of First/Sole Applicant (as per SEBI Regulations it is mandatory)**

Account No																					
Name of the Bank											Branch										
Branch Address											Bank City (redemption will be payable at this location)										
Cheque MICR No						Account Type [Please (✓)]	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE* <input type="checkbox"/> NRO* <input type="checkbox"/> FCNR* <input type="checkbox"/> Others.....														
RTGS / NEFT / IFSC Code											*If the payment is by DD or source of fund is not clear on the Cheque leaf, please provide a copy of FIRC.										

**6. Mode of payment of redemption/dividend proceeds via Direct credit/NEFT/Other Mode**

Direct Credit is now available with: Axis Bank, BNP Paribas Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, Kotak Mahindra Bank, SBI, Standard Chartered Bank, YES Bank. If your bank falls in this list your Redemption/ Dividend proceeds will be directly credited to your account. Alternatively, you will receive the payment through NEFT mode based on the bank details available. Otherwise, payment will be made by way of a cheque/demand draft/warrant.

<input type="checkbox"/> <b>Purchase - Cheque should be in favour of "Sundaram Low Duration Fund"</b>	
For availing switch benefit to Sundaram Long Term Tax Advantage Fund Series V, please submit this Sundaram Low Duration Fund Purchase cum switch form before 17/09/2018	
<b>Sundaram Low Duration Fund</b>	<b>Plans:</b> <input checked="" type="checkbox"/> Regular - Growth
<b>Mode of payment:</b> <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Fund Transfer <input type="checkbox"/> RTGS/NEFT (Ref.No.....)	
<b>Bank</b> (on which Cheque is drawn or by which Demand Draft is issued)	<b>Branch/City</b>
<b>Amount (figures)</b>	<b>Amount (in words)</b>
₹	
<b>Cheque/DD No</b> (attach a cancelled cheque leaf)	<b>Cheque/DD Date</b>
	DDMMYYYY

In case of third party payment, please furnish the duly filled-in third party declaration, form available at [www.sundarammutual.com](http://www.sundarammutual.com).

**Switch - Switches will happen on the Closing date of NFO - Sundaram Long Term Tax Advantage Fund Series V**

<b>From: Sundaram Low Duration Fund</b> <b>Plans:</b> <input checked="" type="checkbox"/> Regular-Growth	<b>To: Sundaram Long Term Tax Advantage Fund Series V</b> <b>Plans:</b> <input checked="" type="checkbox"/> Regular <b>Options:</b> <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Sweep#
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#Dividend Sweep Option (Target Fund) .....  Regular Growth  Direct Growth

**I hereby request you to switch all units allotted in Sundaram Low Duration Fund to the extent of amount invested through this application form, subject to the terms & conditions of Sundaram Long Term Tax Advantage Fund Series V.**

**Turn overleaf for Declaration & Signature (Mandatory) → → →**

**Acknowledgement**

**Request Date:** DDMMYYYY

**Time Stamp/Seal**

**Application No:**..... **Folio No:** .....

We acknowledge with thanks the receipt of Combo application form for investment in Sundaram Low Duration Fund and switch to Sundaram Long Term Tax Advantage Fund Series V\*.

(\*A fund with a 3 year lock-in period & a maximum tenure of 10 years. Investment upto Rs.1,50,000/- made by Individual / HUF (along with other prescribed investments) will only qualify for deduction from the gross total income under Section 80 C of the Income Tax Act, 1961 as per current tax laws.)

# Combo Application Form for Sundaram Low Duration Fund & Sundaram Long Term Tax Advantage Fund Series V

## 7. Nominee (available only for individuals) (refer instruction 10)

I wish to nominate the following person(s)

<b>1st Nominee</b> Name:..... Relationship:..... Address:..... ..... Proportion (%)* in which units will be shared by first nominee.....% <b>If nominee is a minor:</b> Date of birth:..... Name of Guardian:..... Address of Guardian:..... .....	<b>2nd Nominee</b> Name:..... Relationship:..... Address:..... ..... Proportion (%)* in which units will be shared by first nominee.....% <b>If nominee is a minor:</b> Date of birth:..... Name of Guardian:..... Address of Guardian:..... .....	<b>3rd Nominee</b> Name:..... Relationship:..... Address:..... ..... Proportion (%)* in which units will be shared by first nominee.....% <b>If nominee is a minor:</b> Date of birth:..... Name of Guardian:..... Address of Guardian:..... .....
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\* Proportion (%) in which units will be shared by each nominee should aggregate to 100%

I do not wish to choose a nominee. Signature of investor(s) \_\_\_\_\_  
1st / Sole Applicant / Guardian 2nd Applicant 3rd Applicant

## 8. Declaration, Certification & Signature (refer instruction 11)

**Declaration:** I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for Auto Debit • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**Applicable to NRIs only:** Please   I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a  Repatriation Basis  Non-Repatriation Basis. I/We further declare that I/We am/are not a citizen of USA / Canada.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

**Certification:** I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions), stated in pages 1-24 and hereby certify that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions and hereby accept the same.

I/We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal income tax purposes, or in respect of any other information as may be required under applicable tax laws.

**(Applicable only for investments through RIA) RIA Consent Declaration:** I/We, the above-named person/s have invested in the Scheme(s) of Sundaram Mutual Fund under Direct Plan under the above mentioned Account No(s)/Folio No(s).

I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the below mentioned Mutual Fund Distributor/SEBI-Registered Investment Advisor (Correction – Advisor):

AMFI Registration Number: \_\_\_\_\_ ARN - SEBI Registration No. \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

City PIN: \_\_\_\_\_

E-Mail ID: \_\_\_\_\_

Tel.No: \_\_\_\_\_

<b>Name of First / Sole Applicant / Guardian</b>	<b>Name of Second Applicant</b>	<b>Name of Third Applicant</b>
<b>Signature of First / Sole Applicant / Guardian</b>	<b>Signature of Second Applicant</b>	<b>Signature of Third Applicant</b>

Date: ...../...../..... Place:.....

Particulars			
Scheme Name / Plan / Option	Cheque/DD/Payment Instrument Number/Date	Drawn on (Name of Bank & Branch)	Amount in figures (₹) & Amount in words
<b>Sundaram Low Duration Fund</b> Plans <input type="checkbox"/> Regular - Growth			