

# APPLICATION FORM - MIRAE ASSET FOCUSED FUND

An open ended equity scheme investing in a maximum of 30 stocks intending to focus in large cap, mid cap and small cap category (i.e., Multi-cap)  
Offer for units of ₹ 10/- each during the New Fund Offer period and at NAV based prices upon re-opening. Scheme re-opens for continuous sale & re-purchase on and from 15/05/2019



**Mirae Asset Focused Fund**

New Fund Offer opens on: **Tuesday, 23<sup>rd</sup> April, 2019**  
New Fund Offer closes on: **Tuesday, 7<sup>th</sup> May, 2019**

Application No.:

**This product is suitable for investors who are seeking\***

- To generate long term capital appreciation/income
- Investment in a concentrated portfolio of equity & equity related instruments of up to 30 companies across large, mid and small cap category

\*Investors should consult their financial advisers if they are not clear about the suitability of the product

**RISKOMETER**

**Investors understand that their principal will be at Moderately High risk**

Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
<b>ARN - 48012</b>			<b>E053085</b>		

**EUIN Declaration:** Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN\* box is left blank). Please refer instruction 12 of KIM for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. **RIA Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA\*.

Signature of 1<sup>st</sup> Applicant / Guardian / Authorised Signatory /PoA/Karta \_\_\_\_\_  
Signature of 2<sup>nd</sup> Applicant / Guardian / Authorised Signatory /PoA \_\_\_\_\_  
Signature of 3<sup>rd</sup> Applicant / Guardian / Authorised Signatory /PoA \_\_\_\_\_

Please  Lumpsum Investment  Micro Application  SIP Application

**TRANSACTION CHARGES (Please  any one of the below. Refer Instruction No. 11)**

I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS OR  I AM AN EXISTING INVESTOR IN MUTUAL FUNDS

Applicable transaction charges will be deducted in case your distributor has opted for such charges. Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investor's assessment of various factors including the services rendered by the ARN Holder.

**1. EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number, KIN, Section 2 & proceed to Section 7 - Investment Details]**

Folio No. \_\_\_\_\_ CKYC Identification No. (KIN) \_\_\_\_\_

**2. APPLICANT(S) NAME AND INFORMATION [Refer Instruction 2] If the 1<sup>st</sup> / Sole Applicant is Minor, then please provide details of natural / legal guardian**

**1<sup>st</sup> SOLE APPLICANT** Mr. / Ms. / M/s. PAN \_\_\_\_\_  
(Please write the name as per PAN Card)

CKYC ID No. (KIN) \_\_\_\_\_ Pls indicate if US Person or a resident for tax purpose / Resident of Canada  
 Yes  No<sup>s</sup> (\$Default if not )

**GUARDIAN** (In case 1<sup>st</sup> Applicant is a Minor) Mr. / Ms. / M/s. Relationship with Minor (Please )  
 Mother  Father  Legal Guardian

**GUARDIAN CKYC ID No. (KIN)** \_\_\_\_\_ KYC (Please ) **GUARDIAN PAN** \_\_\_\_\_  
 Proof Attached

**POA / Custodian Name:** \_\_\_\_\_ KYC (Please )  Proof Attached

**POA / Custodian CKYC ID No. (KIN)** \_\_\_\_\_ **POA / Custodian PAN** \_\_\_\_\_

**Contact Person for Corporate Investor:** Name \_\_\_\_\_ Designation: \_\_\_\_\_

**3. FIRST APPLICANT AND KYC DETAILS**

**1<sup>st</sup> SOLE APPLICANT**  Individual or  Non-Individual [Please fill Ultimate Beneficial Ownership (UBO) Declaration Form in section 11a & 11b - Refer Instruction No. 17]

\*Date of Birth/Incorporation DDMMYYYY / (Non-individual) Proof of Date of Birth (Please )  Birth Certificate  School Leaving Certificate / Mark Sheet  
(Please write the Date of birth as per PAN Card) (For minor applicant)  Passport of the Minor  Others \_\_\_\_\_ (Please specify)

Place of Birth / Incorporation: \_\_\_\_\_ Country of Birth / Incorporation: \_\_\_\_\_ Nationality: \_\_\_\_\_ Gender  Male  Female  Other

Type:  Resident Individual  Sole Prop  NRI - NRE  Trust  Bank / FIs  FIs  PIO  Society/AOP/BOI  Minor thru Guardian  NRI - NRO

HUF  LLP  Listed Company  Private Company  Public Ltd. Company  Artificial Juridical Person  Partnership Firm  FOF - MF Schemes  Others \_\_\_\_\_ (Please specify)

a\*. Occupation Details [Please tick ()]  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  
 Business  Retired  Agriculture  Proprietorship  Others \_\_\_\_\_ (Please specify)

c\*. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors)  I am PEP  I am Related to PEP  Not Applicable

b\*. Gross Annual Income (₹) [Please tick ()]  Below 1 Lakh  1-5 Lakh  5-10 Lakh  10-25 Lakh  >25 Lakh  > 1 Crore

d\*. Net-worth (Mandatory for Non-Individuals) ₹ \_\_\_\_\_ as on DDMMYYYY (Not older than 1 year)

e\*. Non-Individual Investors involved/providing any of the mentioned services  Foreign Exchange / Money Changer Services  Gaming/Gambling/Lottery/Casino Services  
 Money Lending / Pawning  None of the above

**4. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]**

Name of the Bank: \_\_\_\_\_

Core Banking A/c No. \_\_\_\_\_ A/c. Type Pls. ()  NRE  CURRENT  SAVINGS  NRO

Branch Name: \_\_\_\_\_ Address: \_\_\_\_\_

Bank Branch City: \_\_\_\_\_ State: \_\_\_\_\_ Pin Code: \_\_\_\_\_

MICR Code \_\_\_\_\_ Please attach a cancelled cheque OR a clear photo copy of a cheque IFSC Code (Mandatory for Credit via NEFT/RTGS) \_\_\_\_\_

\* mandatory fields

Please Read All Instructions as given in KIM, to help you complete the Application Form Correctly.

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**5. JOINT APPLICANTS, IF ANY AND THEIR KYC DETAILS**

Mode of Holding:  Anyone or Survivor  Single  Joint (Please note that the Default option is Anyone or Survivor)

**2<sup>nd</sup> APPLICANT** Mr. / Ms. / M/s. (Not Applicable in case of Minor Applicant) Gender  Male  Female  Other  
 (Please write the name as per PAN Card)

PAN Details            Pls indicate if US Person or a resident for tax purpose / Resident of Canada  Yes  No\* (\*Default if not )

CKYC ID No. (KIN)                    KYC Pls   Proof Attached Date of Birth (Mandatory) (As per PAN Card)

Place of Birth Country of Birth Nationality:

a\*. Occupation Details [Please tick (✓)]  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  
 Business  Retired  Agriculture  Proprietorship  Others (Please specify) \_\_\_\_\_  
 b\*. Gross Annual Income (₹) [Please tick (✓)]  Below 1 Lakh  1-5 Lakh  5-10 Lakh  10-25 Lakh  >25 Lakh  > 1 Crore  
 c\*. Politically Exposed Person (PEP) Status  I am PEP  I am Related to PEP  Not Applicable  
 d. Net-worth ₹ \_\_\_\_\_ as on           (Not older than 1 year)

Mode of Holding:  Anyone or Survivor  Single  Joint (Please note that the Default option is Anyone or Survivor)

**3<sup>rd</sup> APPLICANT** Mr. / Ms. / M/s. (Not Applicable in case of Minor Applicant) Gender  Male  Female  Other  
 (Please write the name as per PAN Card)

PAN Details                    Pls indicate if US Person or a resident for tax purpose / Resident of Canada  Yes  No\* (\*Default if not )

CKYC ID No. (KIN)                    KYC Pls   Proof Attached Date of Birth (Mandatory) (As per PAN Card)

Place of Birth Country of Birth Nationality:

a\*. Occupation Details [Please tick (✓)]  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  
 Business  Retired  Agriculture  Proprietorship  Others (Please specify) \_\_\_\_\_  
 b\*. Gross Annual Income (₹) [Please tick (✓)]  Below 1 Lakh  1-5 Lakh  5-10 Lakh  10-25 Lakh  >25 Lakh  > 1 Crore  
 c\*. Politically Exposed Person (PEP) Status  I am PEP  I am Related to PEP  Not Applicable  
 d. Net-worth ₹ \_\_\_\_\_ as on           (Not older than 1 year)

**6a. MAILING ADDRESS [Please provide your E-mail ID and Mobile Number to help us serve you better]**

Local Address of 1<sup>st</sup> Applicant

City State Pin Code

Tel. Off. Resi. Mobile

E - Mail

The primary email address as provided above belongs to me/family member<sup>18</sup>  (Please ✓)  
<sup>18</sup>Please Use Block Letters. Investors providing email ID would mandatorily receive all Communications, Statement of Accounts and Abridged Annual Report through e-mail only.  
 However, if you still wish to receive physical copy of the scheme-wise annual prepare of abridged summery thereof please (✓) here

**6b. Mandatory for NRI / FII Applicant [Please provide Full Address. P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address is preferred]**

Overseas Correspondence Address \_\_\_\_\_

**7. INVESTMENT AND PAYMENT DETAILS ( For complete information on Investment Details please refer to Instructions No. 6. )**

Scheme : Mirae Asset Focused Fund  Regular Plan  Growth (Default)  Payout  Reinvestment (Default)  Dividend

Payment Type [Please (✓)]  Self (Non-Third Party Payment)  Third Party Payment (Please attach 'Third Party Payment Declaration Form')

Cheque / DD / UTR No. & Date	Amount of Cheque / DD / RTGS / NEFT in figures (Rs.)	DD Charges, if any	Net Purchase Amount	Drawn on Bank / Branch	Pay-In Bank A/c No. (For Cheque Only)

**8. DEMAT ACCOUNT DETAILS - Mandatory for units in Demat Mode - Please ensure that the sequence of names as mentioned under section 3 matches as per the Depository Details.**

**National Securities Depository Limited (NSDL)** **Central Depository Services (India) Limited (CDSL)**

DP Name DP Name

DP ID                      Benef. A/C No.           16 Digit A/C No.

Enclosures - Please (✓)  Client Masters List (CML)  Transaction cum Holding Statement  Delivery Instruction Slip (DIS)

**9. NOMINATION DETAILS [Minor / HUF / POA Holder / Non Individuals cannot Nominate - Refer Instruction No. 9]**

PLEASE REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS  OR  I/WE DO NOT WISH TO NOMINATE

No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship	% of Share	Signature of Nominee / Guardian
1		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>				
2		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>				
3		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>				

\* mandatory fields

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**FOR NON-INDIVIDUALS ONLY**

**10. FATCA & CRS DETAILS (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)**

**PART A To be filled by Financial Institutions or Direct Reporting Non Financial Entity (NFEs)**

We are a,  
**Financial institution**   
 or  
**Direct reporting NFE**   
 [Please tick (✓)]

**GIIN**

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

**Name of sponsoring entity:**

GIIN not available [Please tick (✓)]  Applied for  Not required to apply for - please specify 2 digits sub-category    Not obtained – Non-participating FI

**PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")**

1	Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market)	<input type="radio"/> Yes (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange: <input type="text"/>
2	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market)	<input type="radio"/> Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company: <input type="text"/> Nature of relation <input type="radio"/> Subsidiary of the Listed Company or <input type="radio"/> Controlled by a Listed Company Name of stock exchange: <input type="text"/>
3	Is the Entity an active NFE	<input type="radio"/> Yes (If yes, please fill UBO declaration in the next section.) Nature of Business: <input type="text"/> Please specify the sub-category of Active NFE <input type="text"/> <input type="text"/> Mention code: Refer instruction 16(c)
4	Is the Entity a passive NFE	<input type="radio"/> Yes (If yes, please fill UBO declaration in the next section.) Nature of Business: <input type="text"/>

**For details refer instruction No. 16.**

**11a. DECLARATION FOR ULTIMATE BENEFICIAL OWNERSHIP [UBO] (Refer instruction No. 17)\***

\*This declaration is not needed for Companies that are listed on any recognized stock exchange or is a Subsidiary of such Listed Company or is Controlled by such Listed Company. Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E.

**11b. DETAILS OF ULTIMATE BENEFICIAL OWNERS [Mandatory] (If the given space below is not adequate, please attach multiple declaration forms)**

Name of UBO & Address	Address Type <sup>SS</sup>	PAN/Tax Payer Identification No./ Equivalent ID No. <sup>%</sup>	Document Type Refer instruction No. 16(d)	Country of tax Residency/ permanent residency*	Country of citizenship	UBO Code (Mandatory)	KYC (Yes / NO) [please attach the KYC acknowledgement copy]	% of beneficial interest

SS Address Type: Residential or Business (default)/Residential/Business/Registered Office. Attached documents should be self cert ed by the UBO and cert ed by the applicant or Authorised signatory. In case the above information is not provided, it will be presumed that applicant is the UBO, with no declaration to submit. In such case, MAMF/AMC reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modi cation to the above information in future and also undertake to provide any other additional information as may be required at your end.

# If passive NFE, please provide below additional details. (Please attach additional sheets if necessary). Also provide below mandatory details if the UBO does not have a PAN. (Refer Instruction No. 16)

<b>PAN / Any other Identification Number</b> (PAN, Passport, Election ID, Govt. ID, Driving Licence NREGA Job Card, Others) <b>City of Birth - Country of Birth</b>	<b>Occupation Type:</b> Service, Business, Others <b>Nationality:</b> <b>Father's Name:</b> Mandatory if PAN is not available	<b>DOB:</b> Date of Birth <b>Gender:</b> Male, Female, Other
<b>1. PAN:</b> City of Birth: Country of Birth:	<b>Occupation Type:</b> <b>Nationality:</b> <b>Father's Name:</b>	<b>Date Of Birth:</b> <b>Gender</b> <input type="radio"/> Male <input type="radio"/> Female <input type="radio"/> Other
<b>2. PAN:</b> City of Birth: Country of Birth:	<b>Occupation Type:</b> <b>Nationality:</b> <b>Father's Name:</b>	<b>Date Of Birth:</b> <b>Gender</b> <input type="radio"/> Male <input type="radio"/> Female <input type="radio"/> Other
<b>3. PAN:</b> City of Birth: Country of Birth:	<b>Occupation Type:</b> <b>Nationality:</b> <b>Father's Name:</b>	<b>Date Of Birth:</b> <b>Gender</b> <input type="radio"/> Male <input type="radio"/> Female <input type="radio"/> Other

# Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India.

\* To include US, where controlling person is a US citizen or green card holder  
 %In case Tax Identification Number is not available, kindly provide functional equivalent

Application No.:

**Cheque/DD should be Drawn in favour of the Scheme "Mirae Asset Focused Fund"**

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

The detail of this page should be filled by Non-Individual investors only.

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**12. FATCA AND CRS DETAILS (Self Certification) (Refer instruction No. 16)**

**(FOR INDIVIDUALS & NON-INDIVIDUALS)**

**FOR INDIVIDUALS:** Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

**FOR NON-INDIVIDUALS:** Is the "Entity" a tax resident of any country other than India?  Yes  No

(If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below)

1 <sup>st</sup> Applicant (Sole / Guardian / Non-Individual)		2 <sup>nd</sup> Applicant		3 <sup>rd</sup> Applicant	
Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency	<input type="radio"/> Yes <input type="radio"/> No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency	<input type="radio"/> Yes <input type="radio"/> No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency	<input type="radio"/> Yes <input type="radio"/> No
Country of Birth / Incorporation		Country of Birth		Country of Birth	
Country Citizenship / Nationality		Country Citizenship / Nationality		Country Citizenship / Nationality	
Are you a US specified person?	<input type="radio"/> Yes <input type="radio"/> No Please provide Tax Payer Id. _____	Are you a US specified person?	<input type="radio"/> Yes <input type="radio"/> No Please provide Tax Payer Id. _____	Are you a US specified person?	<input type="radio"/> Yes <input type="radio"/> No Please provide Tax Payer Id. _____

For non-Individual investor in case, if you country of incorporation / Tax resistance in US, but you are not a specified US person then please mention exemption code \_\_\_\_\_ (Refer instruction 16(e))

Individual or Non-Individual investors fill this section if ticked Yes above.		Individual investor have to fill in below details in case of joint applicants			
Tax Residency Status: 1	Country:	Tax Residency Status: 1	Country:	Tax Residency Status: 1	Country:
	No.:		No.:		No.:
	Type:		Type:		Type:
Tax Residency Status: 2	Country:	Tax Residency Status: 2	Country:	Tax Residency Status: 2	Country:
	No.:		No.:		No.:
	Type:		Type:		Type:
Tax Residency Status: 3	Country:	Tax Residency Status: 3	Country:	Tax Residency Status: 3	Country:
	No.:		No.:		No.:
	Type:		Type:		Type:
Address Type _____		Address Type _____		Address Type _____	
(Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio)					

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily.

**13. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S) [Refer Instructions 2(f)]**

**To The Trustees, Mirae Asset Mutual Fund (The Fund)** – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Global Investments (India) Private Limited (AMC) Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India: - I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) FATCA / CRS Certification: I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhar card to the Fund/AMC for updating the same in my folio.

Signature of 1 <sup>st</sup> Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2 <sup>nd</sup> Applicant / Guardian / Authorised Signatory /PoA	Signature of 3 <sup>rd</sup> Applicant / Guardian / Authorised Signatory /PoA
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ACKNOWLEDGMENT SLIP

Received Application from Mr. / Ms. / M/s. \_\_\_\_\_ For  Lumpsum 'OR'  SIP as per details below:

Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
Mirae Asset Focused Fund	Amount (Rs.) _____ Cheque / DD No.: _____ Dated _____ Bank & Branch _____	

Cheque / DD is subject to realisation

04-2019

# MIRAE ASSET FOCUSED FUND

An open ended equity scheme investing in a maximum of 30 stocks intending to focus in large cap, mid cap and small cap category (i.e., Multi-cap)

New Fund Offer opens on: 23/04/2019 & closes on: 07/05/2019

Scheme re-opens for continuous sale & re-purchase on and from 15/05/2019

First SIP will start from 10<sup>th</sup> June, 2019. SIPs opted for SIP Date 1<sup>st</sup> will start from 01<sup>st</sup> July, 2019.

# SYSTEMATIC INVESTMENT PLAN (SIP)

Registration Cum Mandate Form with Goal SIP & Top-Up Facility



Application No.:

Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
ARN - 48012			E053085		

**EUIN Declaration:** Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN\* box is left blank). Please refer instruction 12 of KIM for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. **RIA Declaration:** "I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA".

Signature of 1 <sup>st</sup> Applicant / Guardian / Authorised Signatory / PoA / Karta	Signature of 2 <sup>nd</sup> Applicant / Guardian / Authorised Signatory / PoA	Signature of 3 <sup>rd</sup> Applicant / Guardian / Authorised Signatory / PoA
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Please  Enrollment for New Registration (Please fill all sections) OR  SIP Top-up Facility OR  Goal SIP

**1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)**

Name of 1<sup>st</sup> Unit Holder \_\_\_\_\_ Folio No. \_\_\_\_\_

**2. SIP ENROLMENT DETAILS (Please check the Minimum Amount Criteria for the scheme applied for. [Refer Instruction 17 Overleaf]).**

Frequency Please  Monthly (Default)  Quarterly  Regular Plan  Growth (Default)  Dividend Reinvestment (Please  Dividend Payout

Scheme: Mirae Asset Focused Fund

SIP Date Please  01<sup>st</sup>  10<sup>th</sup> (Default)  15<sup>th</sup>  21<sup>st</sup>  28<sup>th</sup> SIP Amount (₹)  5,000  10,000  25,000  Any other Amount. (₹)

SIP Start Date: 0 6 / 2 0 1 9 OR Enter SIP End Date: M M Y Y Y Y End Date : Perpetual  Dec 2099 (Till you instruct Mirae Asset Mutual Fund to discontinue your SIP)

**2a. Goal SIP - Do you want to assign a goal for your SIP. Yes  No  If yes please select (✓) your goal [Refer Instruction 24 Overleaf].**

Please specify your goal amount ₹  Kids Marriage  Kids Education  Retirement Planning (Default)  Tax Savings  Dream House  Dream Car  Dream Vacation  Others- Please specify

**2b. SIP TOP-UP FACILITY (You can start SIP Top-up facility after minimum 6 months from 1st SIP) Refer Instruction No. 23 on the reverse on SIP Top-up**

All Applicants have to submit NACH mandate and will need to fill the maximum amount in line with Top Up amount, SIP amount & tenure. (Not available for micro SIPs)

Top-up Amount (₹) \_\_\_\_\_ (minimum ₹ 500/- and in multiples of ₹ 1/- only) Top-up Start Date M M Y Y Y Y

Frequency Please  Half Yearly  Yearly (Default) Top-up End Date M M Y Y Y Y

**3. SIP PAYMENT DETAILS (New Investors - Please provide copy of cancelled cheque and mention relevant SIP details in the form and NACH mandate.)**

Cancelled cheque Leaf First SIP Cheque No. \_\_\_\_\_ Drawn on Bank \_\_\_\_\_  
Cheque Date \_\_\_\_\_ A/c. Type  NRE  CURRENT  SAVINGS  NRO

**4. BANK ACCOUNT DETAILS (Mandatory)**

Name of 1<sup>st</sup> A/c. Holder as in Bank Records \_\_\_\_\_

Bank Name \_\_\_\_\_ Core Banking A/c. No. \_\_\_\_\_

Branch Name & Address \_\_\_\_\_ City \_\_\_\_\_

9 Digit MICR Code \_\_\_\_\_ Bank Account Type  NRE  CURRENT  SAVINGS  NRO

**DECLARATION & SIGNATURE:** To The Trustees, Mirae Asset Mutual Fund - Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme & conditions of SIP enrolment and registration through NACH/ECS or Direct Debit (Auto Debit). I/We also agree that if the transaction is delayed or not effected for reasons of incomplete or incorrect or any other operational reasons, I/We would not hold Mirae Asset Global Investments (India) Pvt. Ltd., their appointed service providers or representatives responsible. I/We also undertake to keep sufficient funds in my bank account on the date of execution of the said standing instructions. "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us". "I/We have not made any other Micro application [including Lumpsum + SIPs] which together with the current application would result in aggregate investments exceeding ₹ 50,000 in a rolling 12 month period or in a financial year". Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating the same in my folio.

Signature of 1 <sup>st</sup> Applicant/Guardian/Authorised Signatory/PoA/Karta (AS IN BANK RECORDS)	Signature of 2 <sup>nd</sup> Applicant/Guardian /Authorised Signatory/PoA (AS IN BANK RECORDS)	Signature of 3 <sup>rd</sup> Applicant/Guardian/Authorised Signatory/PoA (AS IN BANK RECORDS)
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NACH MANDATE INSTRUCTION FORM (Refer instruction over leaf before (Filing))

Tick(✓) Create  Modify  Cancel

UMRN<sup>1</sup> \_\_\_\_\_ Date<sup>2</sup> DD MM YYYY \_\_\_\_\_

Sponsor Bank Code<sup>3</sup> \_\_\_\_\_ Utility Code<sup>4</sup> \_\_\_\_\_

I/We, hereby authorize<sup>5</sup> Mirae Asset Global Investments (India) Pvt. Ltd. To Debit (Tick✓)<sup>6</sup> SB / CA / CC / SB-NRE / SB-NRO / Other

Bank A/c Number<sup>8</sup> \_\_\_\_\_ IFSC<sup>10</sup> \_\_\_\_\_ or MICR<sup>11</sup> \_\_\_\_\_

Bank Name<sup>9</sup> \_\_\_\_\_ Amount in words<sup>12</sup> \_\_\_\_\_ Amount in Figures<sup>13</sup> ₹ \_\_\_\_\_

Frequency<sup>14</sup>  Mthly  Qtly  H-Yrly  Yrly  As & when presented Debit Type<sup>15</sup>  Fixed Amount  Maximum Amount

Ref 1<sup>6</sup>: Folio No. \_\_\_\_\_ Mobile<sup>18</sup> \_\_\_\_\_

Ref 2<sup>17</sup>: Scheme Mirae Asset Focused Fund Email ID<sup>19</sup> \_\_\_\_\_

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

Signature of primary account holder  Signature of joint account holder  Signature of joint account holder

22 Name of primary account holder Name of joint account holder Name of joint account holder

This is to confirm that declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized debit.